

1/52

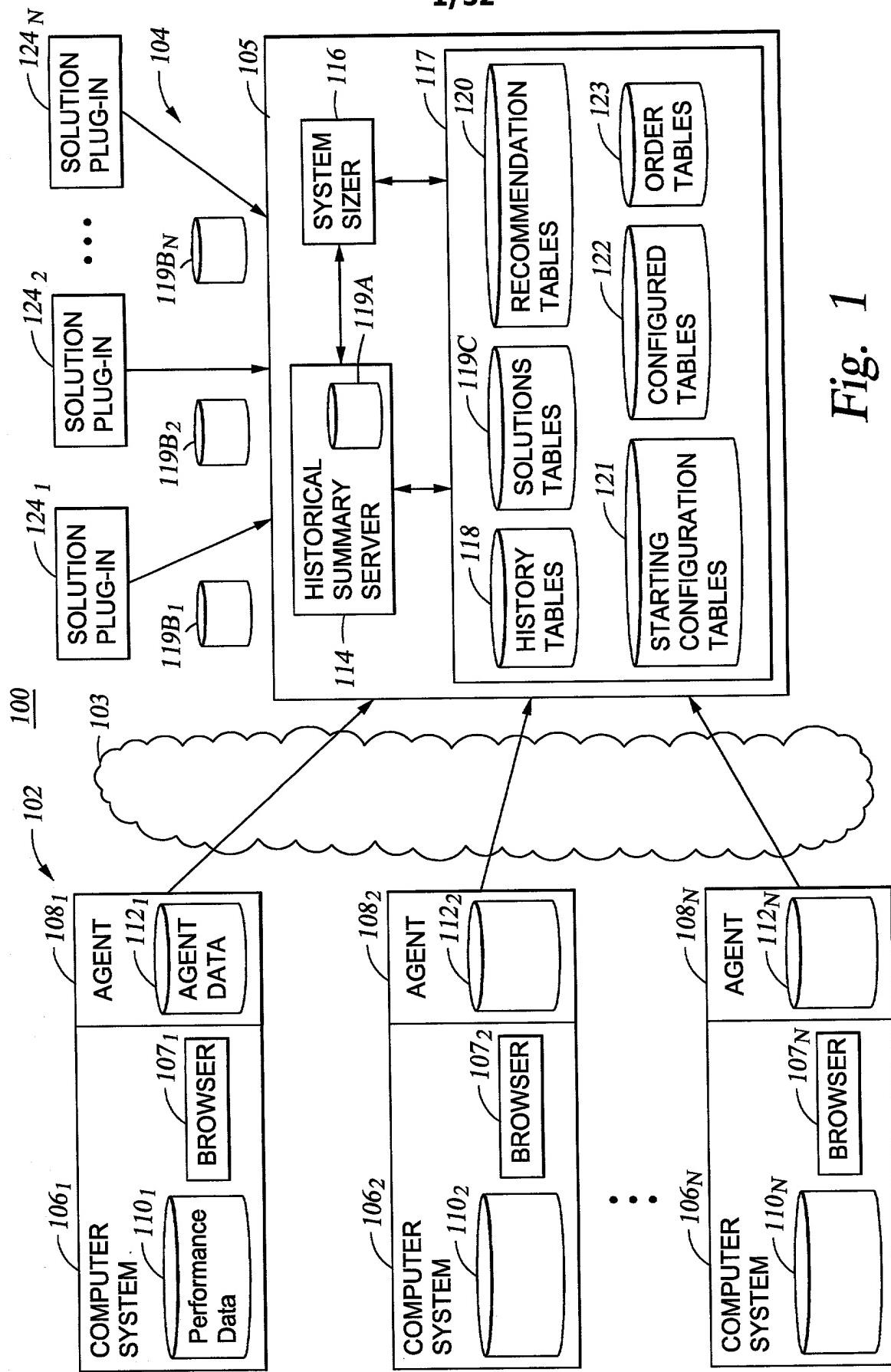


Fig. 1

2/52

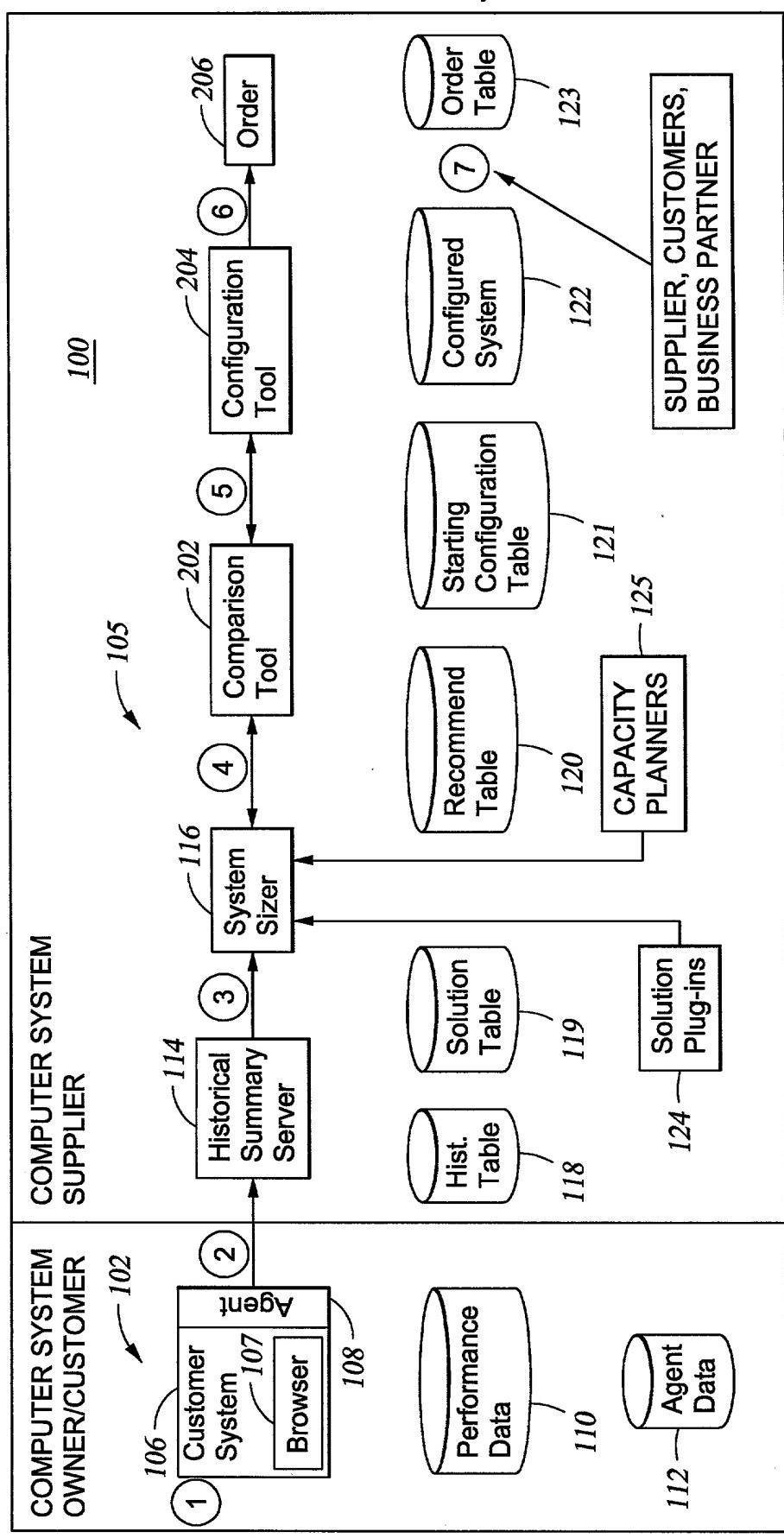


Fig. 2

3/52

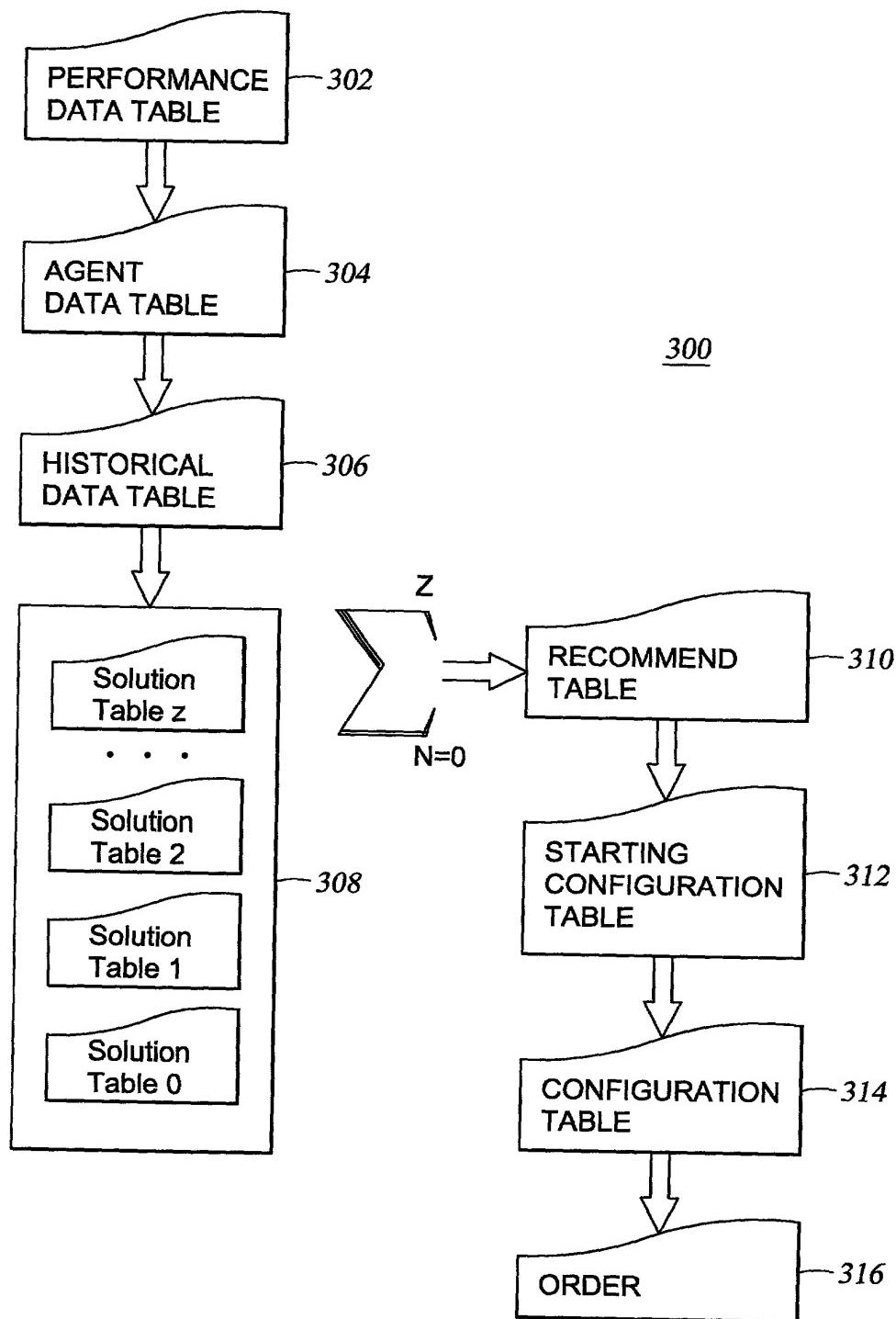


Fig. 3

4/52

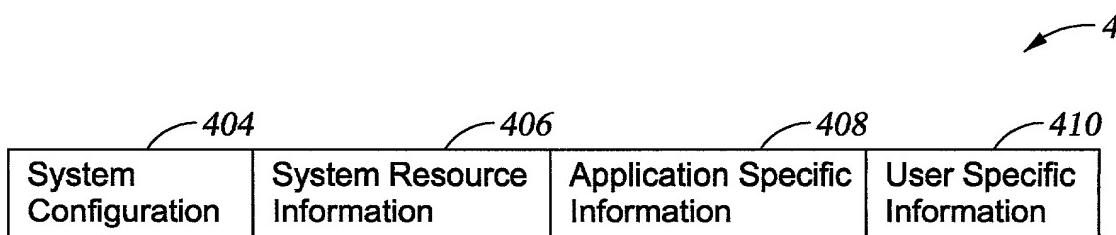


Fig. 4

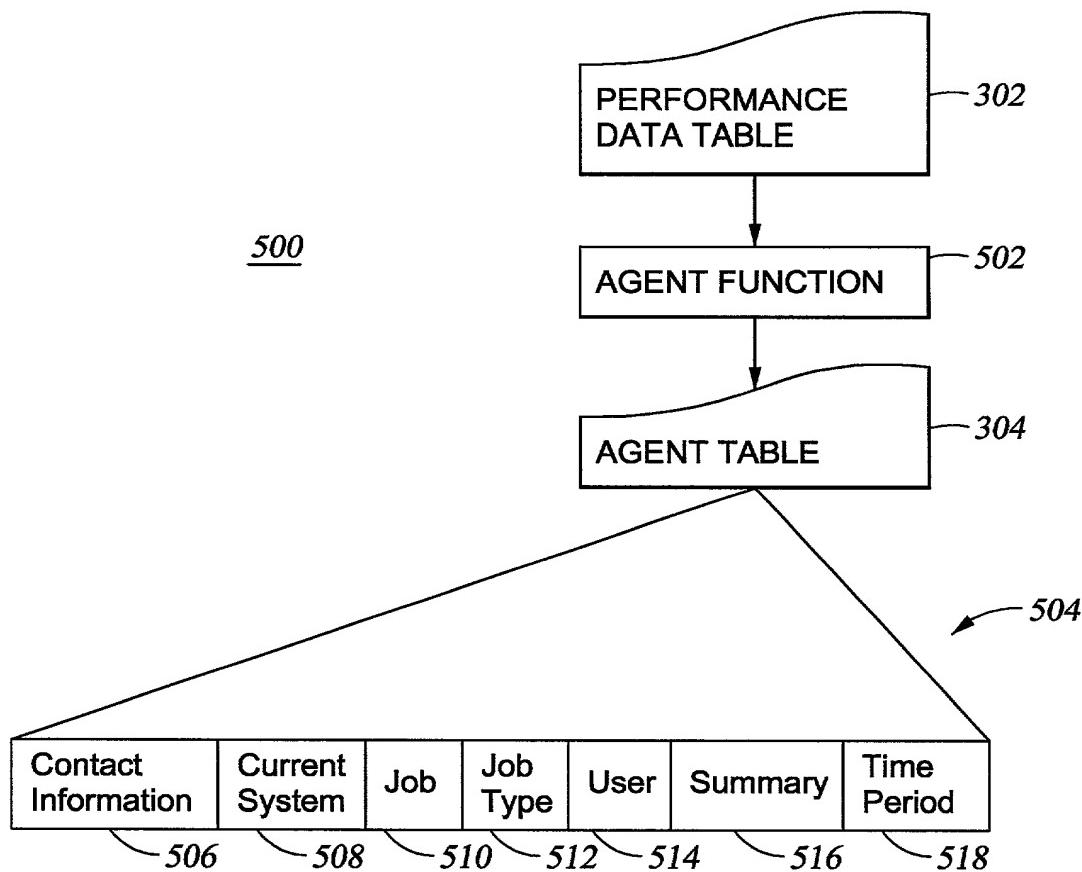


Fig. 5

5/52

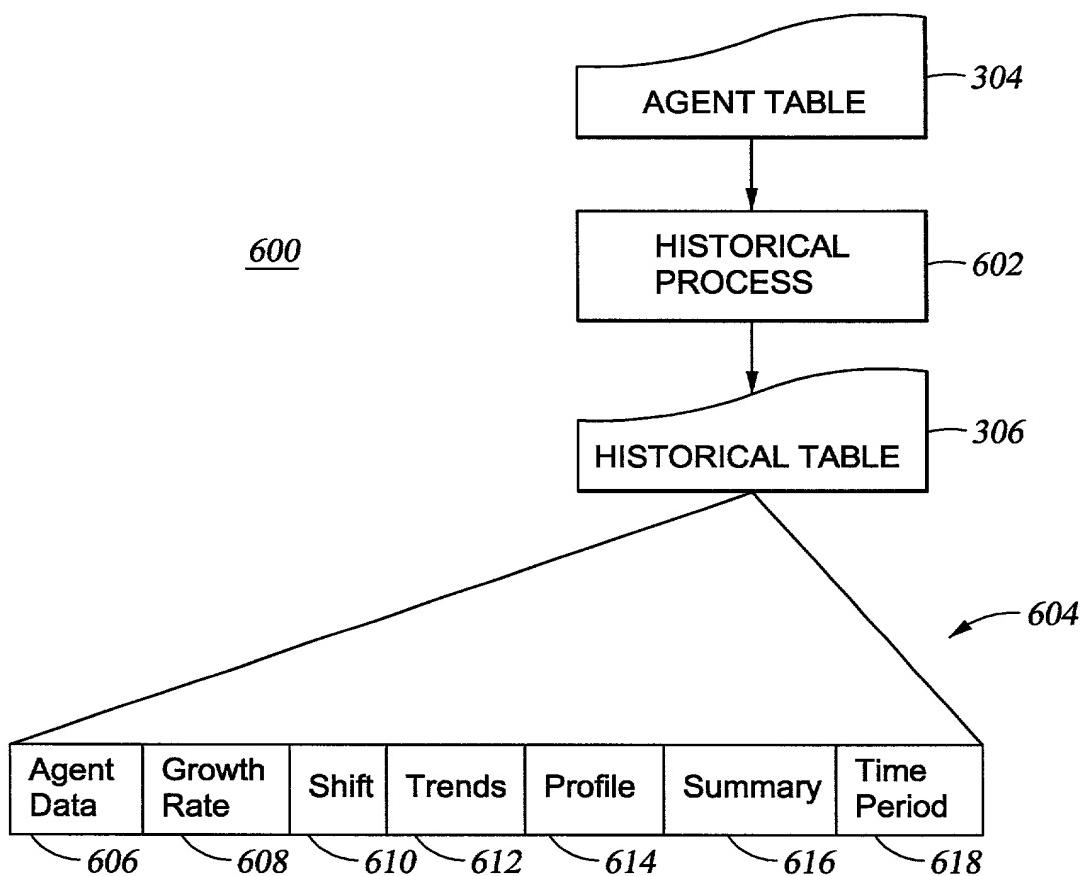


Fig. 6

6/52

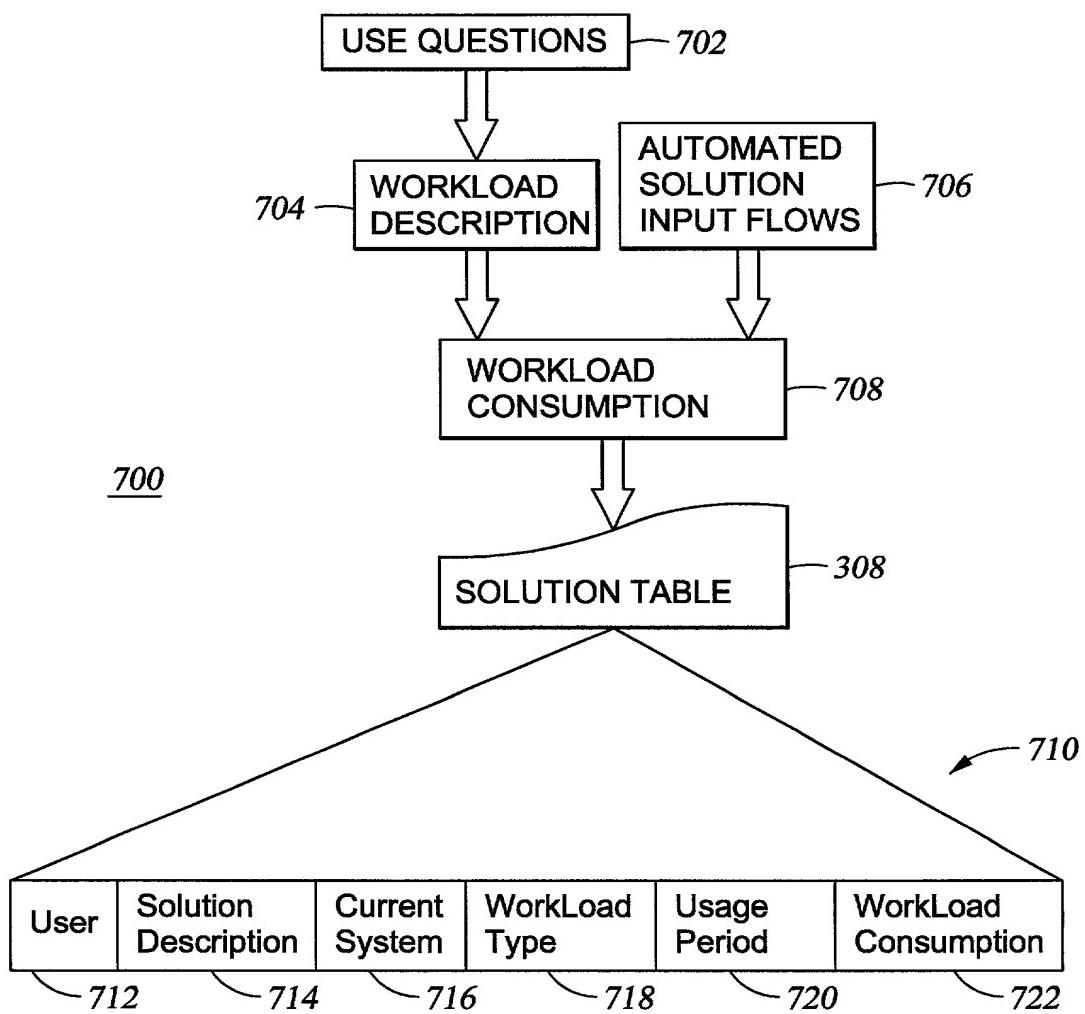


Fig. 7

7/52

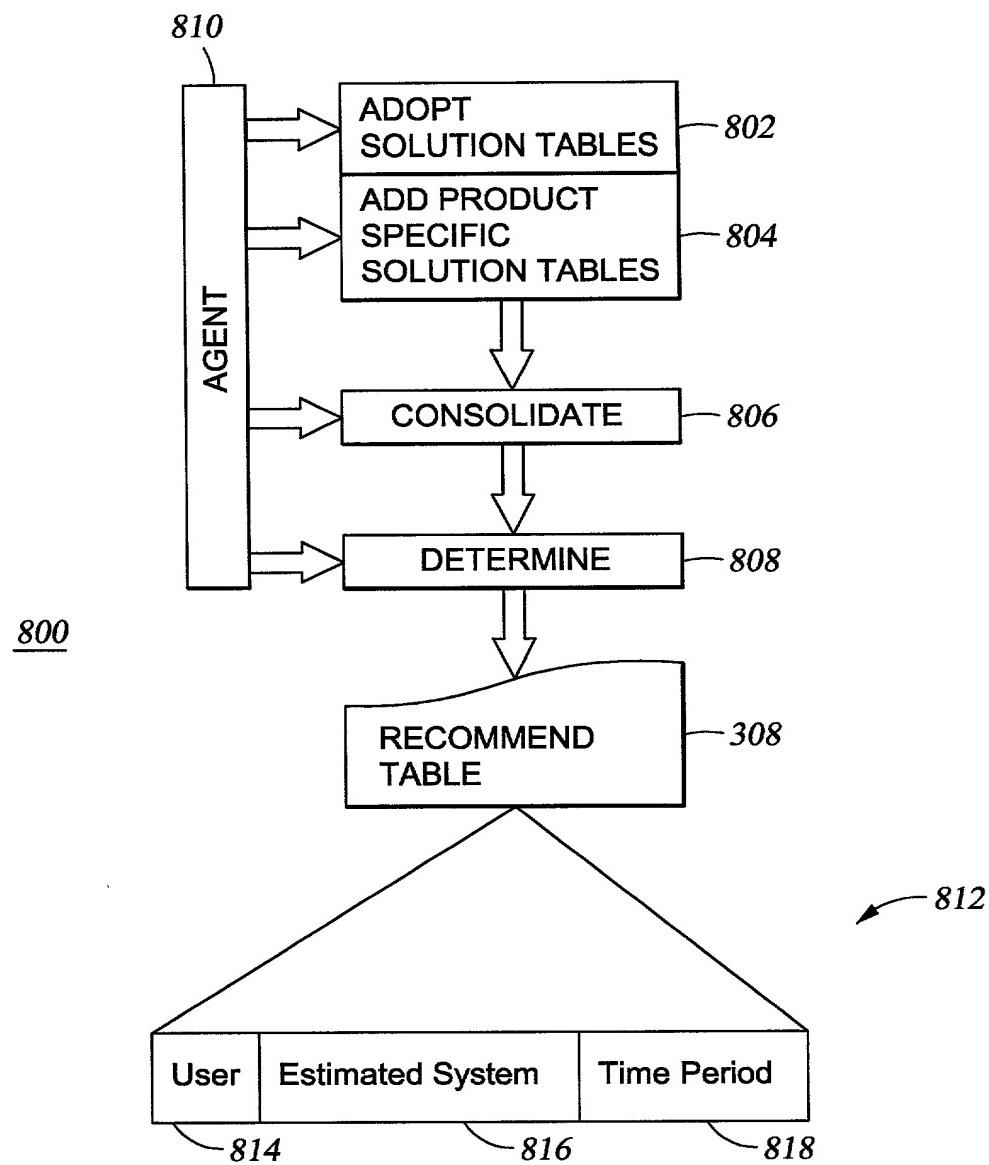


Fig. 8

900

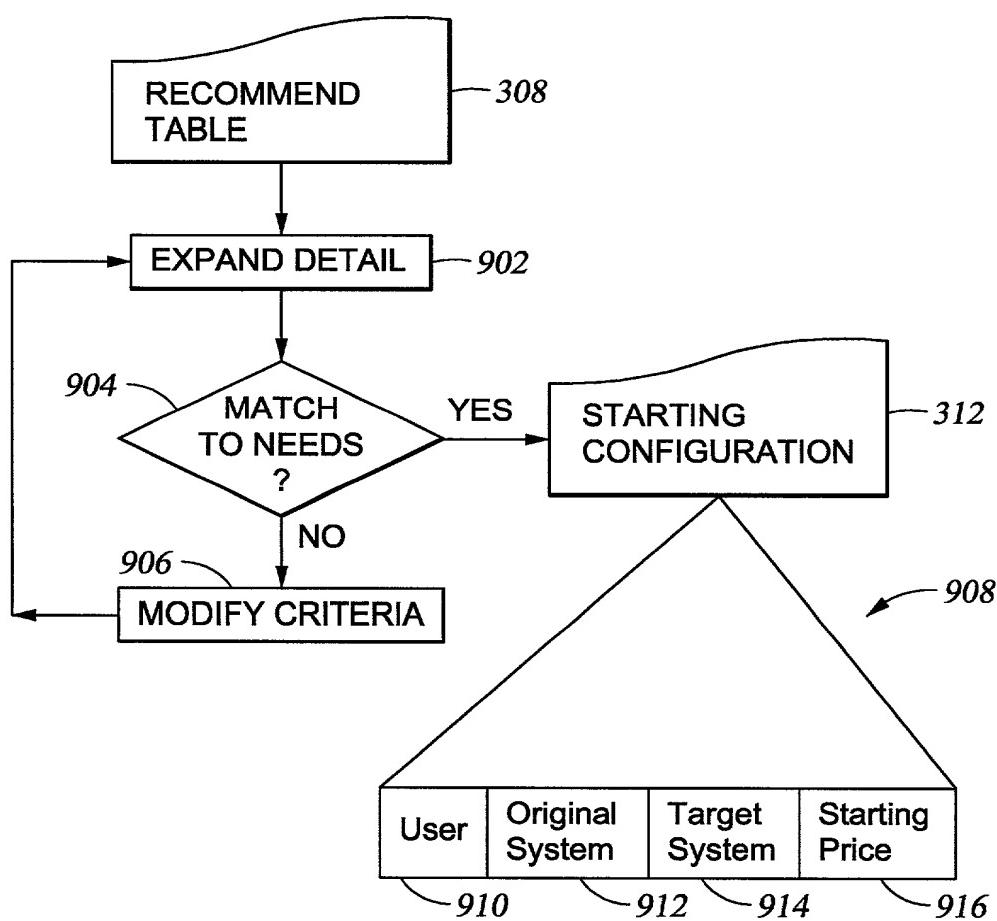


Fig. 9

9/52

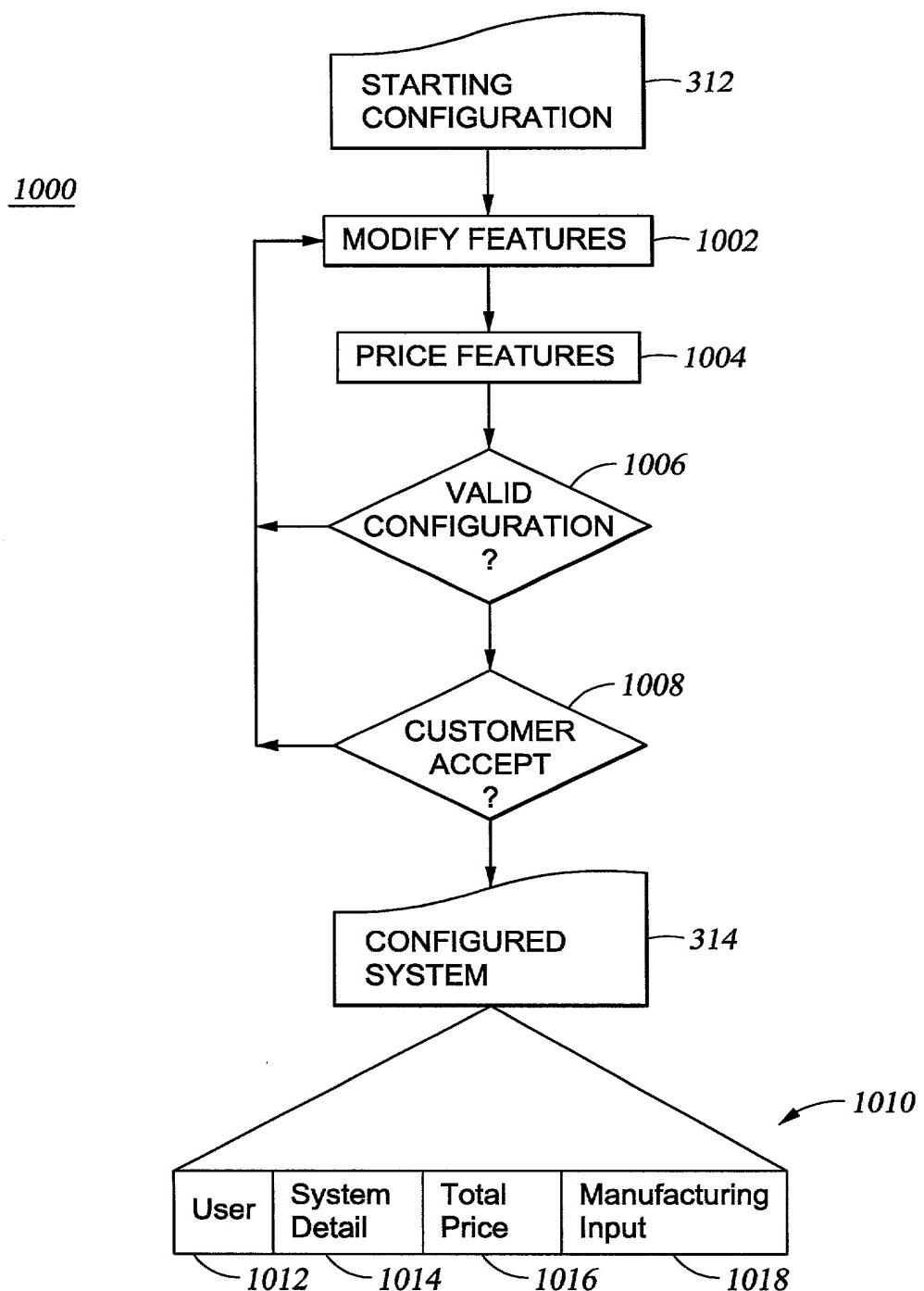


Fig. 10

10/52

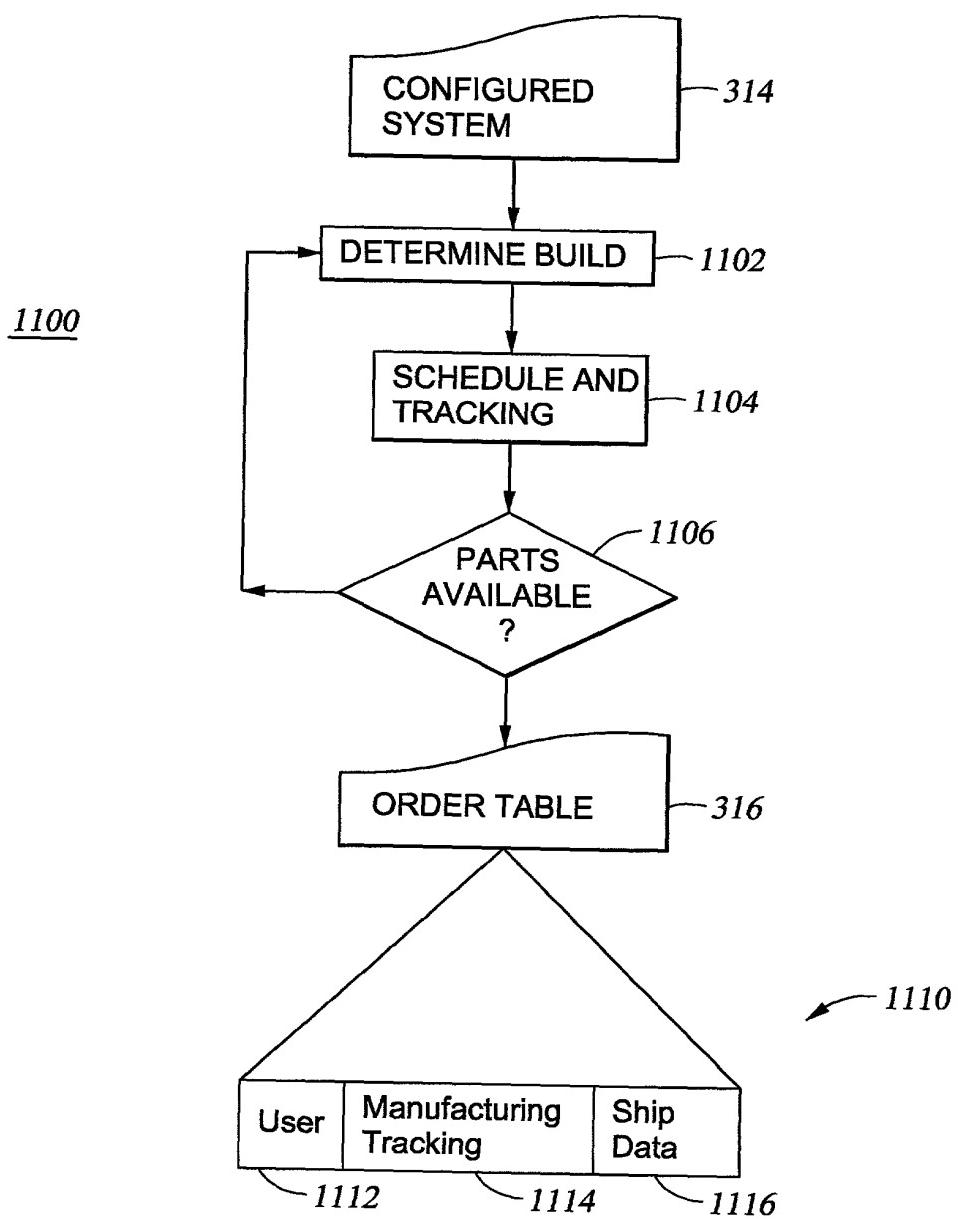


Fig. 11

Atty. Dkt. No. ROC920010108US1
U.S. Serial No.: UNKNOWN
Filed: HEREWITH
Inventor: BIRKHOLZ, ET AL.
Express Mail No.: EL849145400US

Sheet 11 of 52

11/52

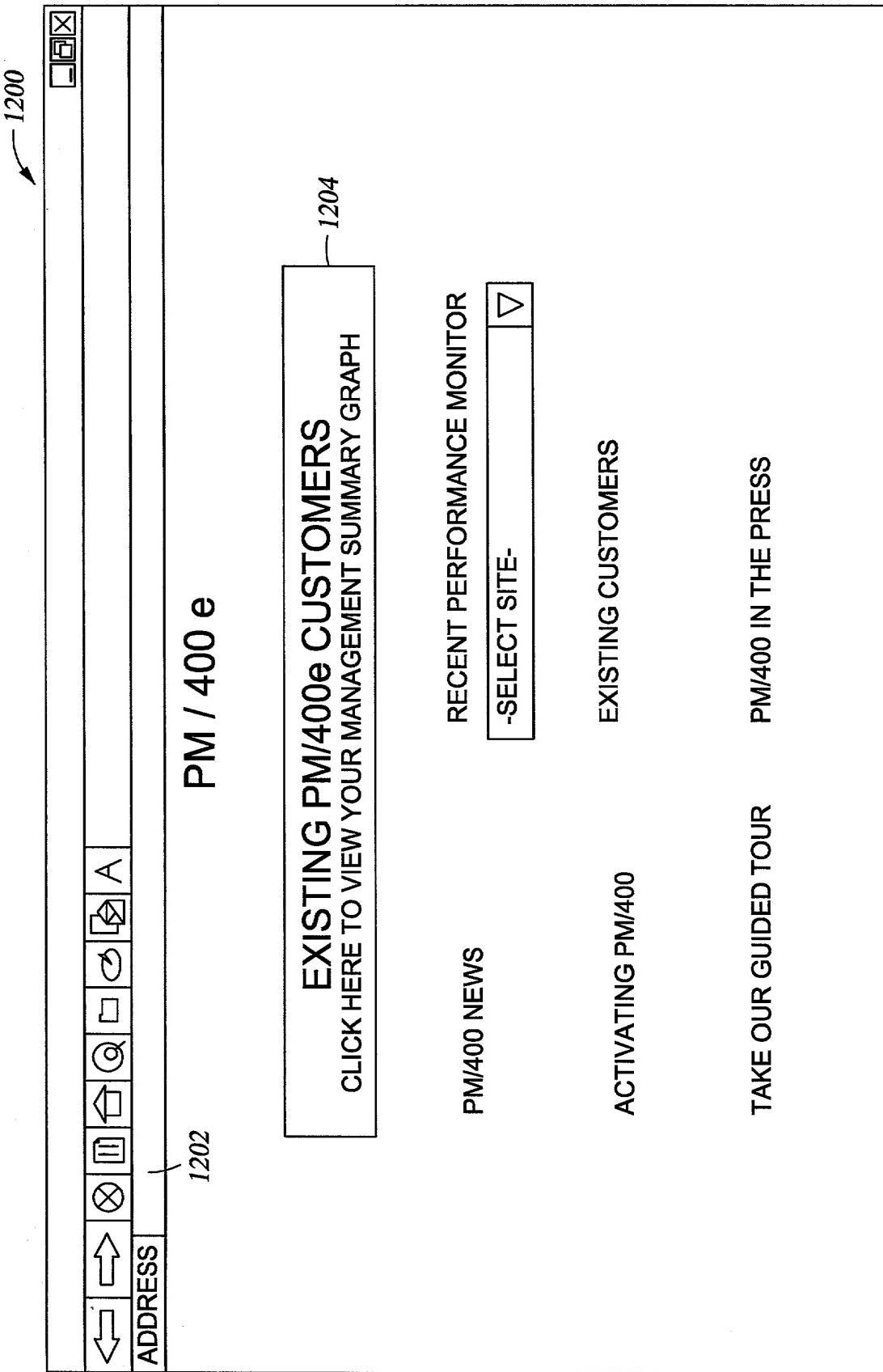


Fig. 12

12/52

1300

□

ADDRESS

1304

Acceptable Marginal Critical

1306

Acceptable Marginal Critical

1308

Acceptable Marginal Critical

1310

Acceptable Marginal Critical

1302

Fig. 13

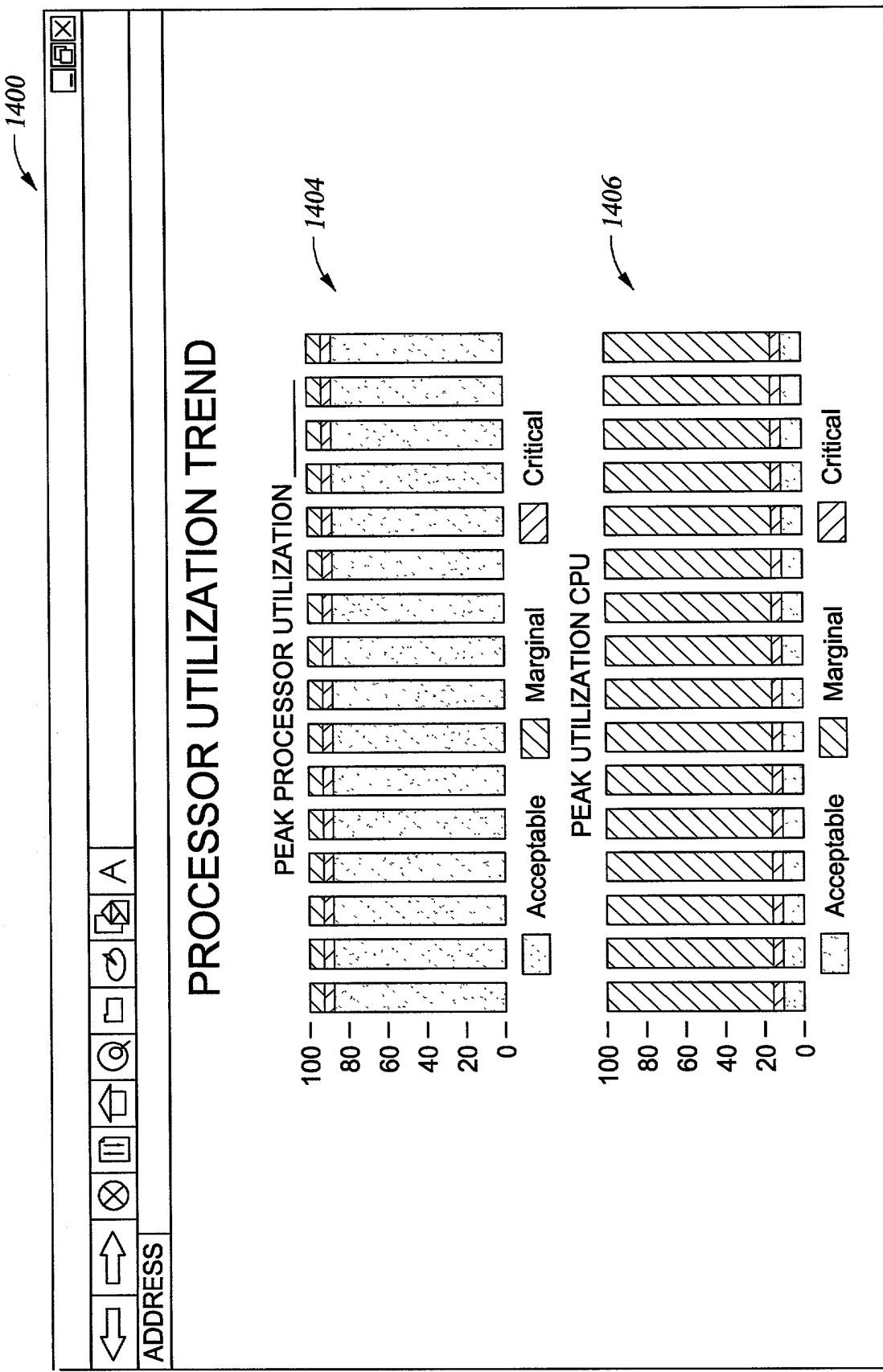


Fig. 14

1500 ↙ ↘

ADDRESS

WORK LOAD SELECTION

TYPE OF WORK LOAD NAME OF WORK LOAD

1502 — WORK LOAD TYPE ▽ 1504 1506

WORK LOAD TYPE WORKLOAD #1 WORKLOAD #2 WORKLOAD #3

EXISTING
PM400
DOMINO
JAVA
WEB COMMERCE
TRADITIONAL
WEBSPHHERE
HTTP
GENERIC

WNS TO SELECT
D. THEN PRESS →

ANOTHER WORKLOAD — 1508

1510 ↙ ↘

OPTIONS: OS/400 VERSION = V5R1, RAID SUPPORT = NONE, DBCS SUPPORT = NO
DEVELOPED BY THE ROCHESTER iSERIES SYSTEM PERFORMANCE TEAM

Fig. 15

15/52

1600

ADDRESS	RCHASA28
End	
End	
End	
End	
Total	
End	
1 System Information:	Serial: 10-3LOTM Model: S40-2208 Feature: System CPU: 87.0% of 4,550CPW Interactive CPU: 2.3% of 120CPW # of Processors: 12 Memory: 24576MB Disk Arms: 3.0% utilization of 228 Arms installed Disk Arms Distribution: 7,200 RPM Arms 228.0 10k RPM Arms 0.0 Disk Storage: 966.6GB (72.6%) of 1.331GB Data Protection: No Protection
2. Growth Information Months to Grow:	12 → 1606 → 1604 → 1608 → Memory Growth Matches Interactive CPU: -0.232 NonInteractive CPU: 87.549 Total System CPU: 87.317 Disk Arms: - 4 Disk Storage: 17 Memory: 471

Fig. 16

- 1700



ADDRESS

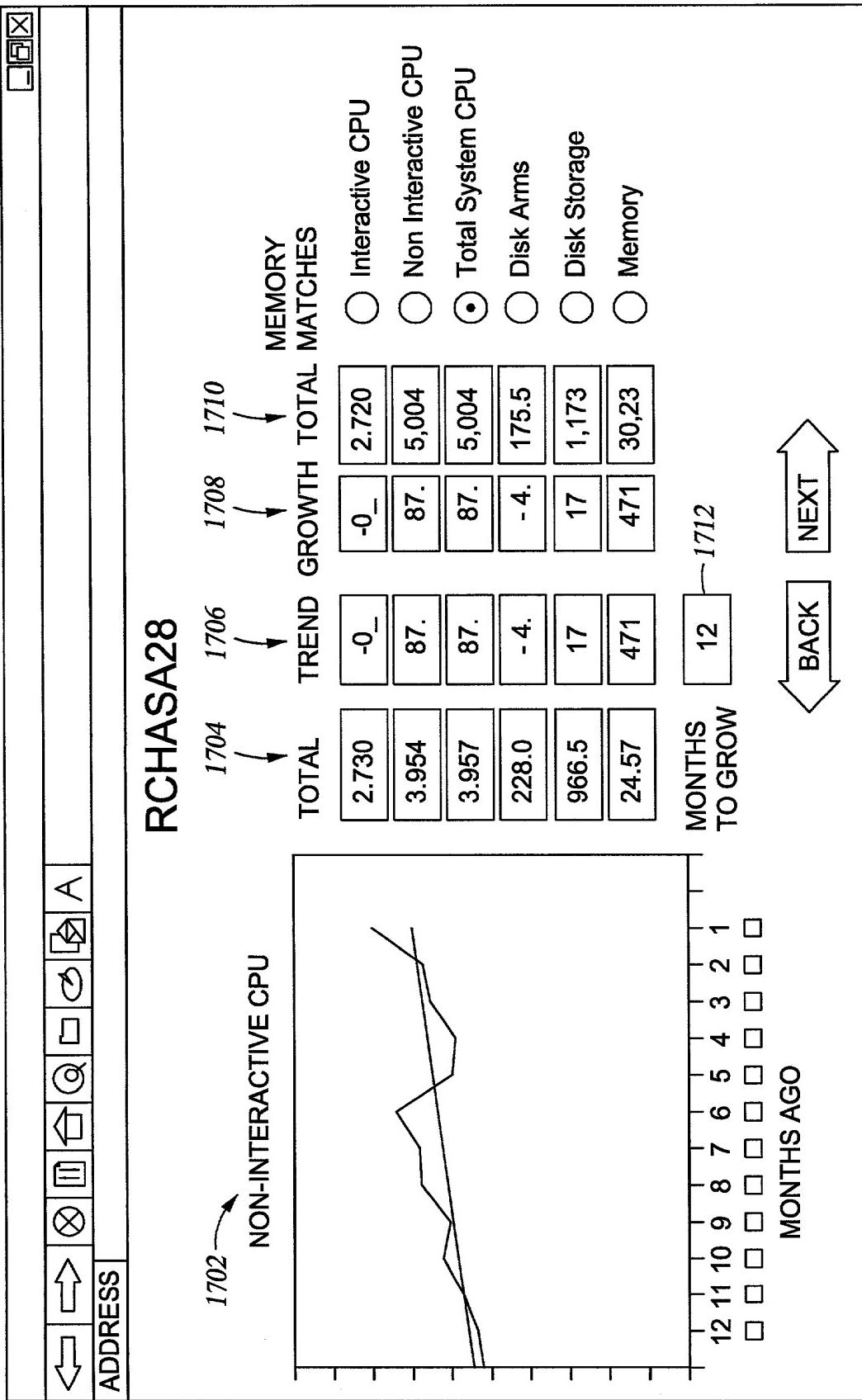


Fig. 17

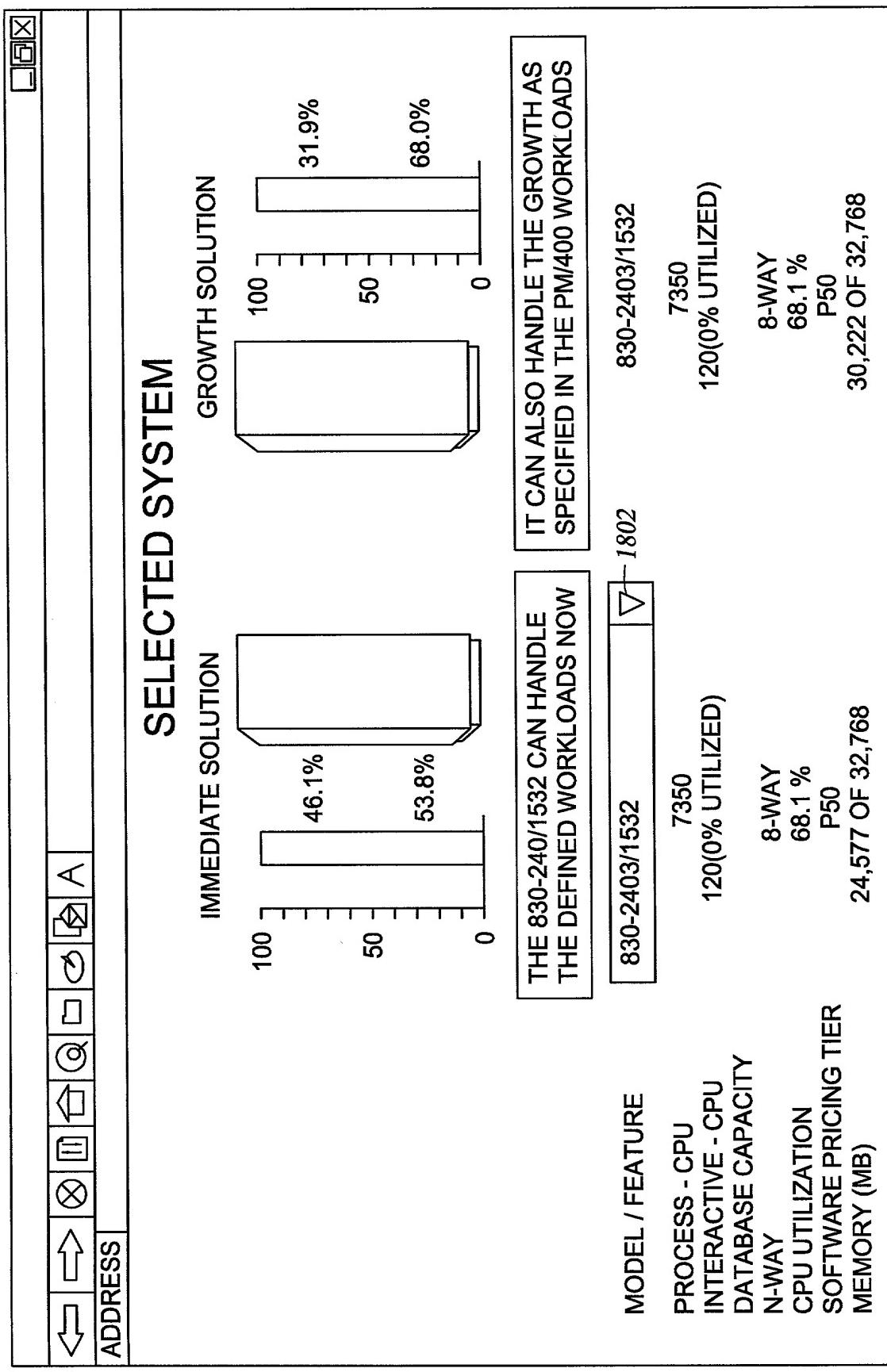


Fig. 18

1800 →

18/52

IBM

Upgrade Model 830 Processor 2403 Interactive Card 2403

Change column 2 to Select

System	Processor CPW	Interactive CPW	Mail Users	&Cal	Mail n-way	Software Tier	Disk GB	Upgrade	
830_2403_1532	7350	240	-	20910	8	P50	11055	11	
830_2403_1533	82,500	7350	240	-	20910	8	P50	11066	11
830_2403_1534	<u>258,500</u>	7350	560	-	20910	8	P50	11055	11
830_2403_1536	<u>495,000</u>	7350	1050	-	20910	8	P50	11056	11
830_2403_1536	<u>273,728</u>	10000	120	-	28430	12	P40	16832	7
840_2418_1540	<u>356,228</u>	10000	240	-	28430	12	P50	16852	7
840_2418_1541	<u>532,228</u>	10000	560	-	28430	12	P50	18952	7
840_2418_1542	<u>768,728</u>	10000	1050	-	28430	12	P50	18952	8
840_2418_1543	<u>766,728</u>	16500	120	-	55610	24	P40	18952	3
840_2420_1540	<u>849,228</u>	16500	240	-	55610	24	P50	18952	3
840_2420_1541.	<u>1,025,228</u>	16500	560	-	55610	24	P50	18952	3
840_2420_1542	<u>1,261,728</u>	16500	1050	-	55610	24	P50	18952	4
840_2420_1543	<u>1,078,028</u>	20200	120	-	77800	24	P40	18952	3
840_2461_1540	<u>1,160,528</u>	20200	240	-	77800	24	P50	18952	3
840_2461_1541.	<u>1,336,528</u>	20200	560	-	77800	24	P50	18952	3
840_2461_1542	<u>1,573,028</u>	20200	1050	-	77800	24	P50	18952	4

Fig. 19

19/52

2000

◀ ▶ ⊖ ⊙ ⊛ ⊜ ⊞ ⊞ A

ADDRESS

IBM

\$82,600.00 (US lot price) assumes a minimally operational machine.

vSr1..> vSr1 upgrade

From 830_2403_1532
8406 ISeries 400 Model 830 System Unit
2403 Model 830 Processor
1532 Interactive Capacity Card

To 830_2403_1633
9406 ISeries 400 Model 830 System Unit
Conversion
1533 Interactive Capacity Card \$82,500.00
Carry Over

2403 Model 830 Processor
0041 Device Parity Protection-All
0367 Operations Conecte PCI Cable
1454 200V 14FT Locking Line Card
2881 Main Storage Expansion
3082 129MB Main Storage

4X 4317 8.58GB 10k rpm Disk Unit
4425 CD-ROM (PCI)
4745 PCI WAN IDA
5544 Sys Console on OP Console
9074 Base I/O Enclosure
9732 Base HSL Ports- 8 Copper
9748 Base PCI Disk Unit Ctr
9771 Base PCI 2-Line WAN w/Modem
9843 Base PCI IQP

TOTAL \$82,500.00

Any prices or configurations provided are intended for planning purposes only and are not a commitment by IBM.

Call me now

Fig. 20

20/52

2100

<input type="button" value="←"/> <input type="button" value="→"/> <input type="button" value="⊗"/> <input type="button" value="□"/> <input type="button" value="⌂"/> <input type="button" value="🔍"/> <input type="button" value="□"/> <input type="button" value="⟳"/> <input type="button" value="✉"/> A		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
ADDRESS					
<input checked="" type="checkbox"/>	1 NEW INITIAL ORDER				
	2112	2114	2106		
	1 Hardware	2 Software	<	>	Diagram
	Messages	Proposed			

SERIES 400 AND AS/400 SYSTEMS-PROCESSOR

Processor	Basic Options	System Options	Opticonned	9309 Racks
Upgrade Type				
<input type="text"/> ▽				
Server Model				
<input type="text"/> ▽				
Model 830				
Processor				
<input type="text"/> ▽				
2400Std 2-Way (1850 CPW) Ann 6/2000				
Interactive Card (on applicable models)				
<input type="text"/> ▽				
1531 Interactive Card (70 CPW)				
Total Main Storage Memory Expansion Feature				
1024 MB	▽	None Selected		
Software Version/Release				
<input type="text"/> ▽				
Version 5 Release 1				
<input type="text"/> ▽				
<input type="button" value="< Previous"/> <input type="button" value="Next >"/> <input type="button" value="Configure"/> <input type="button" value="Cancel"/> <input type="button" value="Reset Page"/> 2120				

2102

2101

Fig. 21

21/52



INDIVIDUAL ITEMS

Qty	Part No.	Description	Available	Item Price	Line Total	
1	9406-8XX-5079	1.8m I/O Tower		\$56,600.00	\$56,600.00	Remove
1	9406-8XX-5101	30-Disk Expansion Feature		\$9,000.00	\$9,000.00	Remove
1	9406-840-5150	Battery Backup (External)		\$2,500.00	\$2,500.00	Remove
1	9406-8XX-2685	1063 Mbps OptiConnect Receiver, 830/840 - for initial & MES upgrade orders, 820 - for field upgrade (MES) orders only		\$5,000.00	\$5,000.00	Remove
1	9406-820-5157	Feature Power Supply		\$1,950.00	\$1,950.00	Remove
1	9406-820-7127	DASD Expansion Unit (820 Only)		\$850.00	\$850.00	Remove
4	9406-8XX-2796	256 MB Netfinity IOP Memory 850 MHz PCI for i Series 820,830, 840,SB2, SB3		\$805.00	\$3,200.00	Remove
1	9406-8XX-2797	1 GB Netfinity IOP Memory 850 MHz PCI for i Series 820,830, 840,SB2, SB3		\$6,995.00	\$6,995.00	Remove
6	9406-8XX-4318	17.54GB 10k rpm Disk Unit (Ultra-2 SCSI)		\$2,520.00	\$15,120.00	Remove
4	9406-8XX-4331	1.6GB Read Cache Device		\$37,000.00	\$148,000.00	Remove
1	9406-8XX-2748	PCI RAID Disk Unit Ctrl		\$6,000.00	\$6,000.00	Remove

Subtotal \$255,235.00* Recalculate

2200

Fig. 22

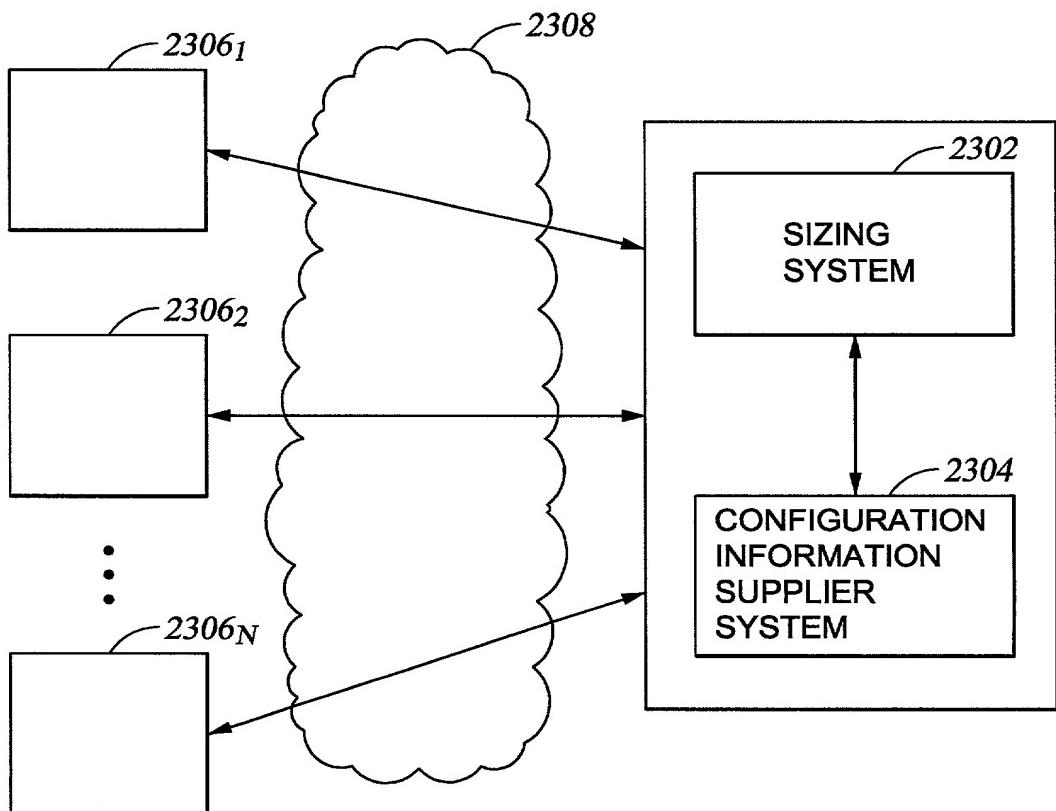
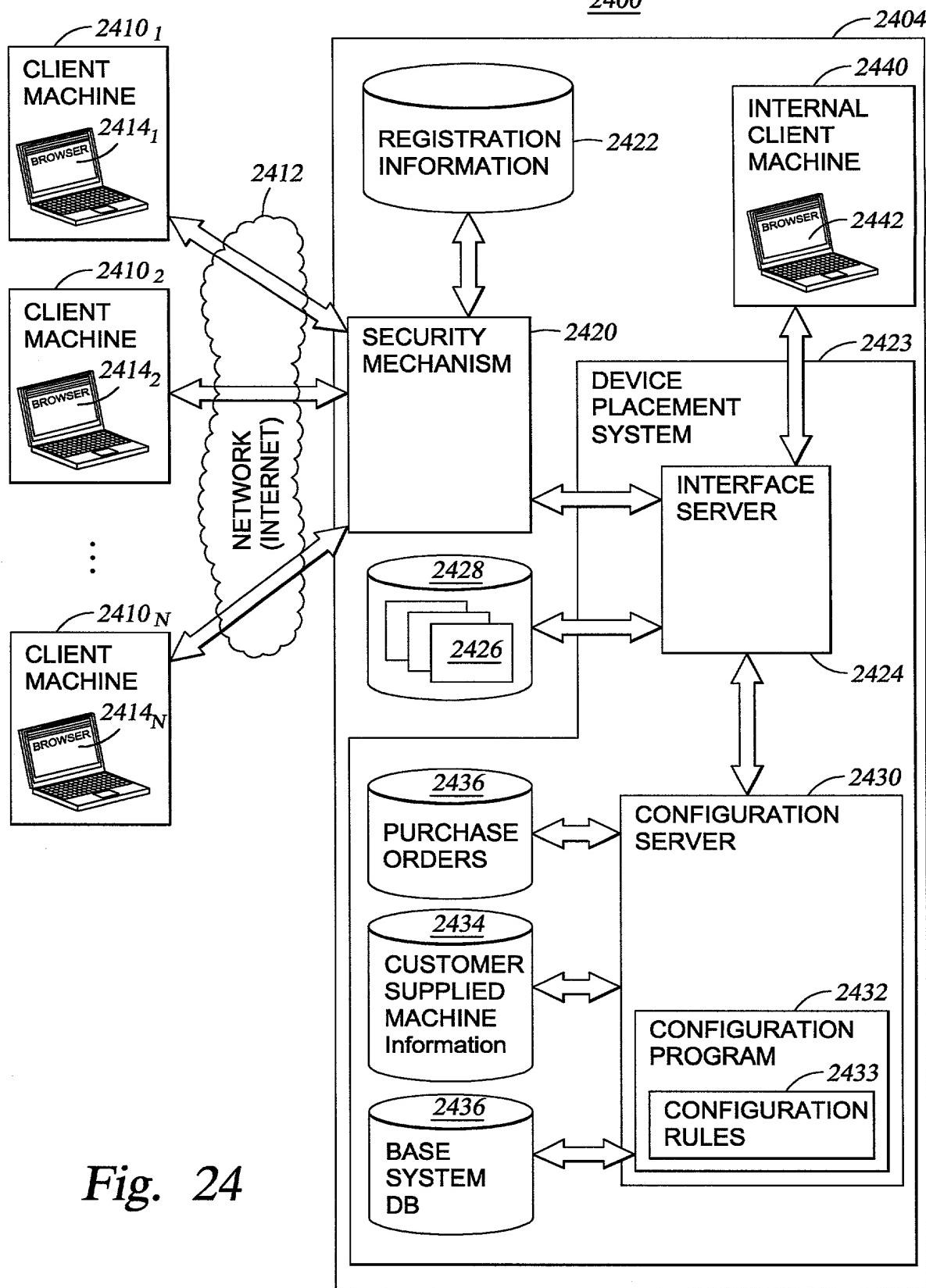


Fig. 23

23/52



24/52

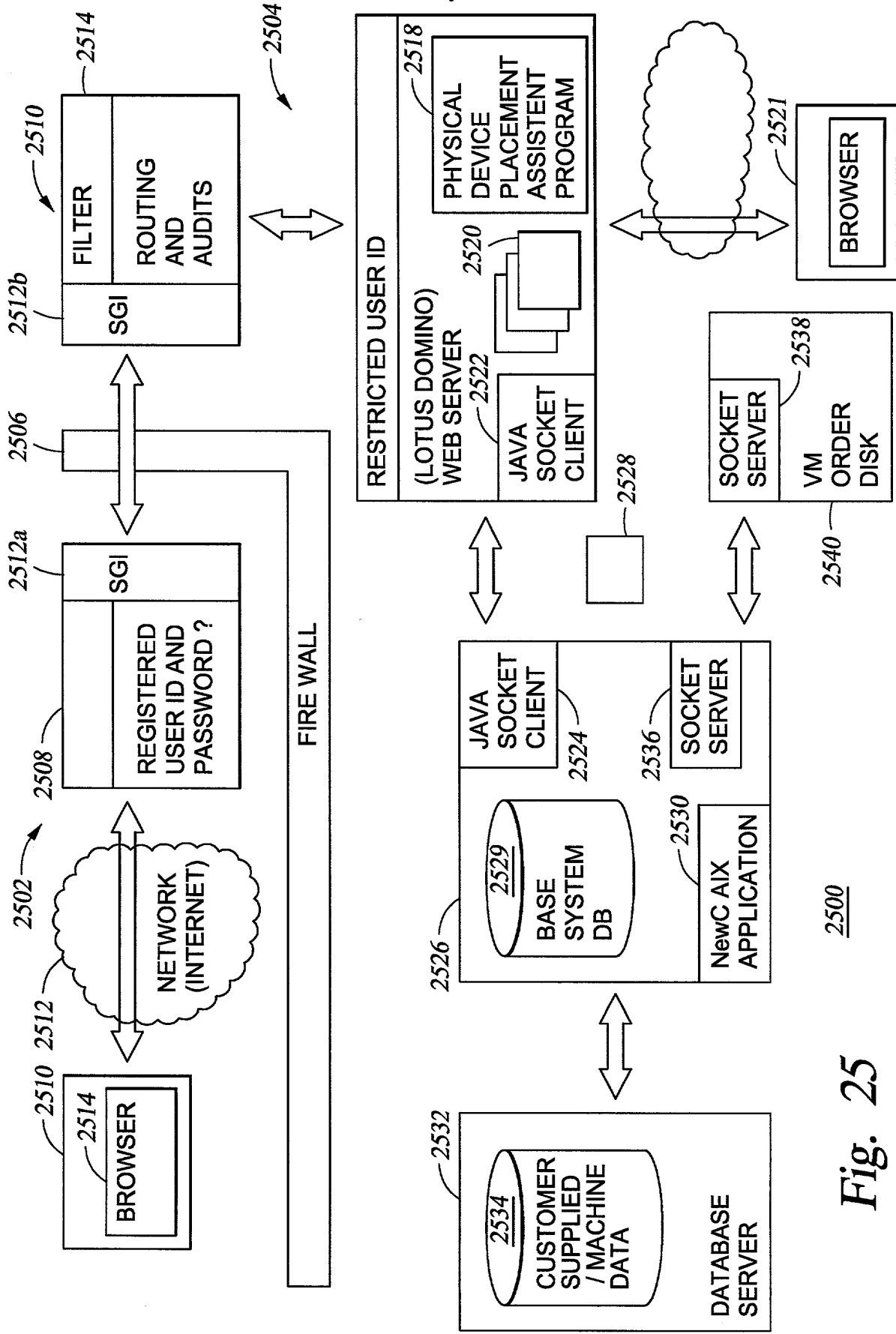


Fig. 25

2500

KEY	VALUE	DESCRIPTION
MTM	9406170	MACHINE TYPE MODEL
SN	0012345	SERIAL NUMBER
VPD	01/02/07	VITAL PRODUCT DATA
CFG	00110031	CONFIGURATION LEVEL
T, 5065	01C00	KEY = TOWER . TOWERTYPE VALUE = TOWER LOCATION
FC 2722	2722	TYPE . TOWERTYPE . TOWERLOCATION . SLOTLOCATION
O. 5065 . 02L01 . CC08	2612	
MSG		START OF CONFIGURATION MESSAGES
END		END OF CONFIGURATION MESSAGES

Fig. 26A

26/52

KEY	VALUE	DESCRIPTION
MTM	9406170	MACHINE TYPE MODEL
SN	0012345	SERIAL NUMBER
VPD	01/02/07	VITAL PRODUCT DATA
CFG	00110031	CONFIGURATION LEVEL
T, 5065	01C00	KEY = TOWER . TOWERTYPE VALUE = TOWER LOCATION
N. 5065 . 02L01 . CC09	2610	TYPE . TOWERTYPE . TOWERLOCATION . SLOTLOCATION
O. 5065 . 02L01 . CC08	2612	
2722	2721	
MSG		START OF CONFIGURATION MESSAGES
END		END OF CONFIGURATION MESSAGES

Fig. 26B

 PHYSICAL DEVICE PLACEMENT ASSISTANT	SPECIFY iSERIES OR AS/400 SYSTEM INFORMATION										
<p>2702</p> <ul style="list-style-type: none"> <input type="radio"/> ENTER SYSTEM: <input checked="" type="radio"/> SELECT BASE SYSTEMS: <input type="radio"/> MACHINE EQUIPMENT SPECIFICATION (MES) NUMBER: 											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">10</td> <td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td> <td style="width: 80%;"><input type="text"/> (PLANT CODE- SERIAL NUMBER)</td> </tr> <tr> <td colspan="3" style="text-align: center;">2704</td> </tr> <tr> <td colspan="3" style="text-align: right;">2706</td> </tr> </table>			10	<input checked="" type="checkbox"/>	<input type="text"/> (PLANT CODE- SERIAL NUMBER)	2704			2706		
10	<input checked="" type="checkbox"/>	<input type="text"/> (PLANT CODE- SERIAL NUMBER)									
2704											
2706											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;">MACHINE TYPE:</td> <td style="width: 50%; text-align: center;">9406 <input checked="" type="checkbox"/> 2708</td> </tr> <tr> <td colspan="2" style="text-align: center;">USER TYPE:</td> </tr> <tr> <td colspan="2" style="text-align: center;">CUSTOMER <input checked="" type="checkbox"/> 2710</td> </tr> </table>			MACHINE TYPE:	9406 <input checked="" type="checkbox"/> 2708	USER TYPE:		CUSTOMER <input checked="" type="checkbox"/> 2710				
MACHINE TYPE:	9406 <input checked="" type="checkbox"/> 2708										
USER TYPE:											
CUSTOMER <input checked="" type="checkbox"/> 2710											
<input style="width: 100px; height: 25px; border: 1px solid black; background-color: #f0f0f0; font-weight: bold; margin-right: 10px;" type="button" value="SUMMIT SYSTEM INFORMATION"/> 2712											

Fig. 27

28/52

2800 ↙

<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> A	<input type="checkbox"/> <input checked="" type="checkbox"/>		
ADDRESS			
IBM PHYSICAL DEVICE PLACEMENT ASSISTANT			
2702 ↙	SPECIFY iSERIES OR AS/400 SYSTEM INFORMATION		
<table border="1"><tr><td><input type="radio"/> ENTER SYSTEM: <input checked="" type="radio"/> SELECT BASE SYSTEMS: <input type="radio"/> MACHINE EQUIPMENT SPECIFICATION (MES) NUMBER.</td><td>9406: 10-170BS <input checked="" type="checkbox"/> 2802 (MACHINE TYPE: PLANT CODE- SERIAL NUMBER)</td></tr></table>		<input type="radio"/> ENTER SYSTEM: <input checked="" type="radio"/> SELECT BASE SYSTEMS: <input type="radio"/> MACHINE EQUIPMENT SPECIFICATION (MES) NUMBER.	9406: 10-170BS <input checked="" type="checkbox"/> 2802 (MACHINE TYPE: PLANT CODE- SERIAL NUMBER)
<input type="radio"/> ENTER SYSTEM: <input checked="" type="radio"/> SELECT BASE SYSTEMS: <input type="radio"/> MACHINE EQUIPMENT SPECIFICATION (MES) NUMBER.	9406: 10-170BS <input checked="" type="checkbox"/> 2802 (MACHINE TYPE: PLANT CODE- SERIAL NUMBER)		
<table border="1"><tr><td>USER TYPE:</td><td>CUSTOMER <input checked="" type="checkbox"/> 2710</td></tr></table>		USER TYPE:	CUSTOMER <input checked="" type="checkbox"/> 2710
USER TYPE:	CUSTOMER <input checked="" type="checkbox"/> 2710		
SUBMIT SYSTEM INFORMATION → 2712			

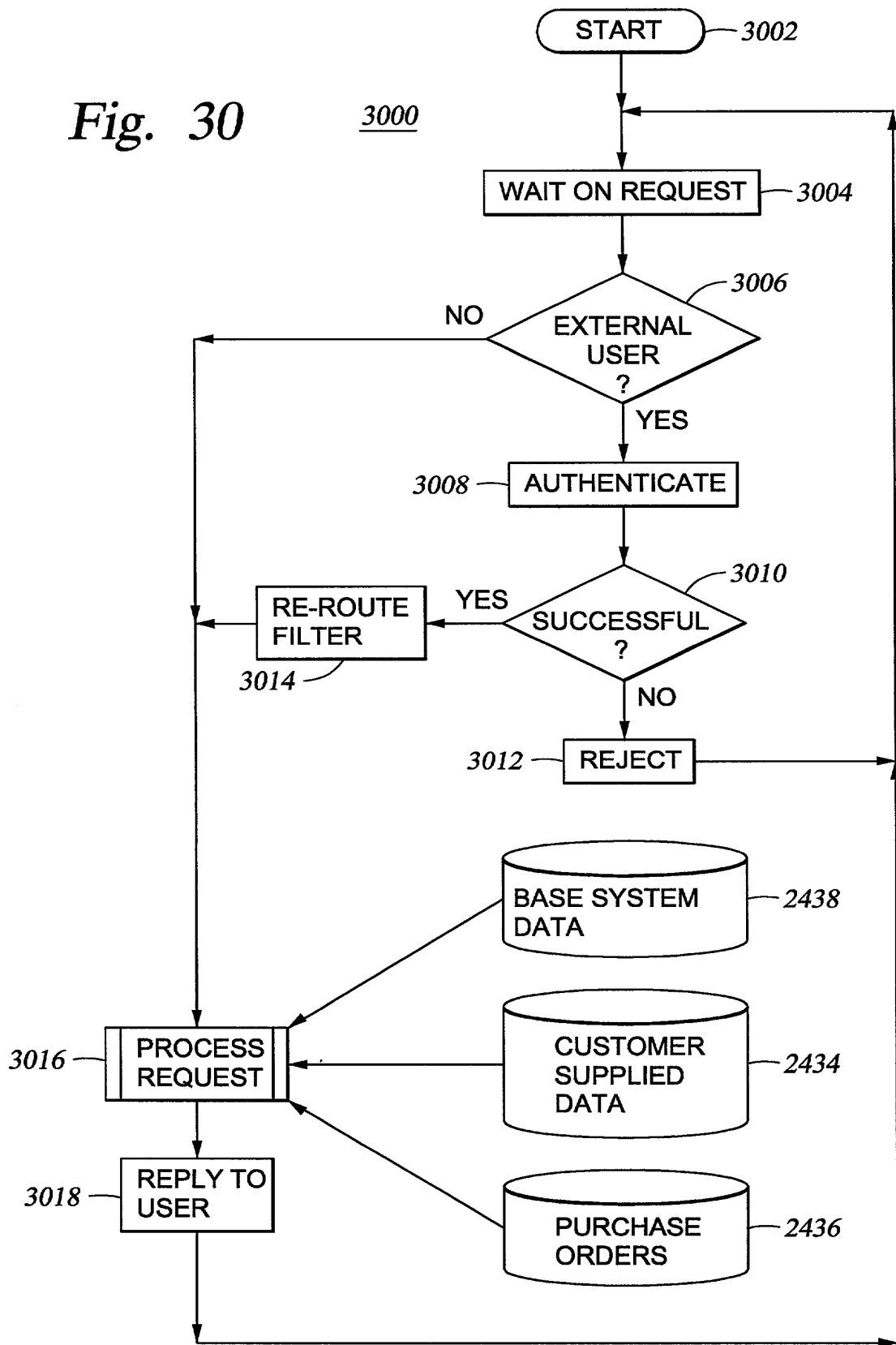
Fig. 28

<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> A	
ADDRESS	
IBM PHYSICAL DEVICE PLACEMENT ASSISTANT	
2702 → SPECIFY iSERIES OR AS/400 SYSTEM INFORMATION	
○ ENTER SYSTEM: <input type="text"/> 2902 ○ SELECT BASE SYSTEMS: ○ MACHINE EQUIPMENT SPECIFICATION (MES) NUMBER:	
PLANT OF ORIGIN: ROCHESTER, MN. USA <input checked="" type="checkbox"/> 2904 USER TYPE: CUSTOMER <input checked="" type="checkbox"/> 2710	
SUBMIT SYSTEM INFORMATION → 2712	

Fig. 29

30/52

Fig. 30



31/52

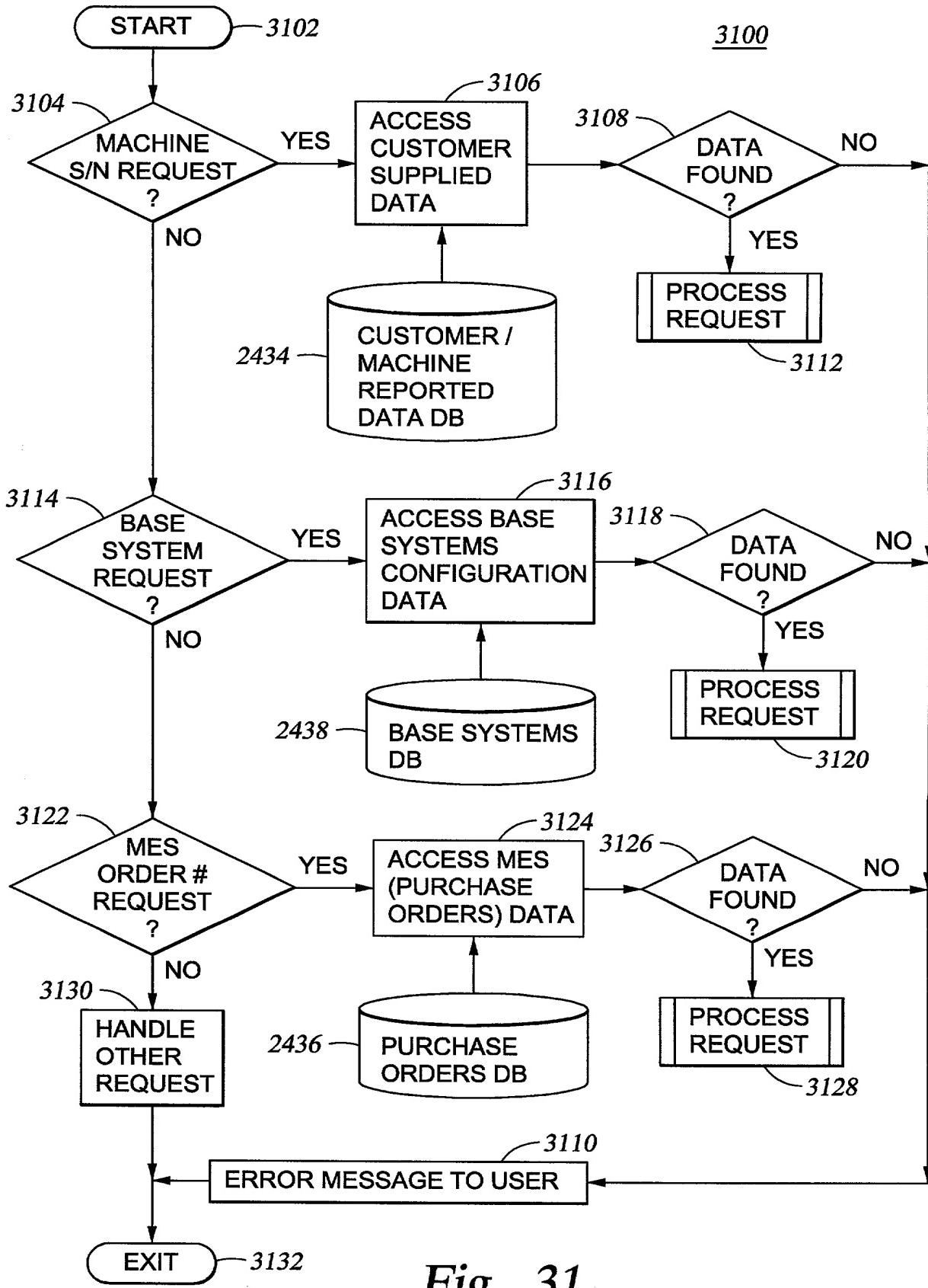


Fig. 31

3200

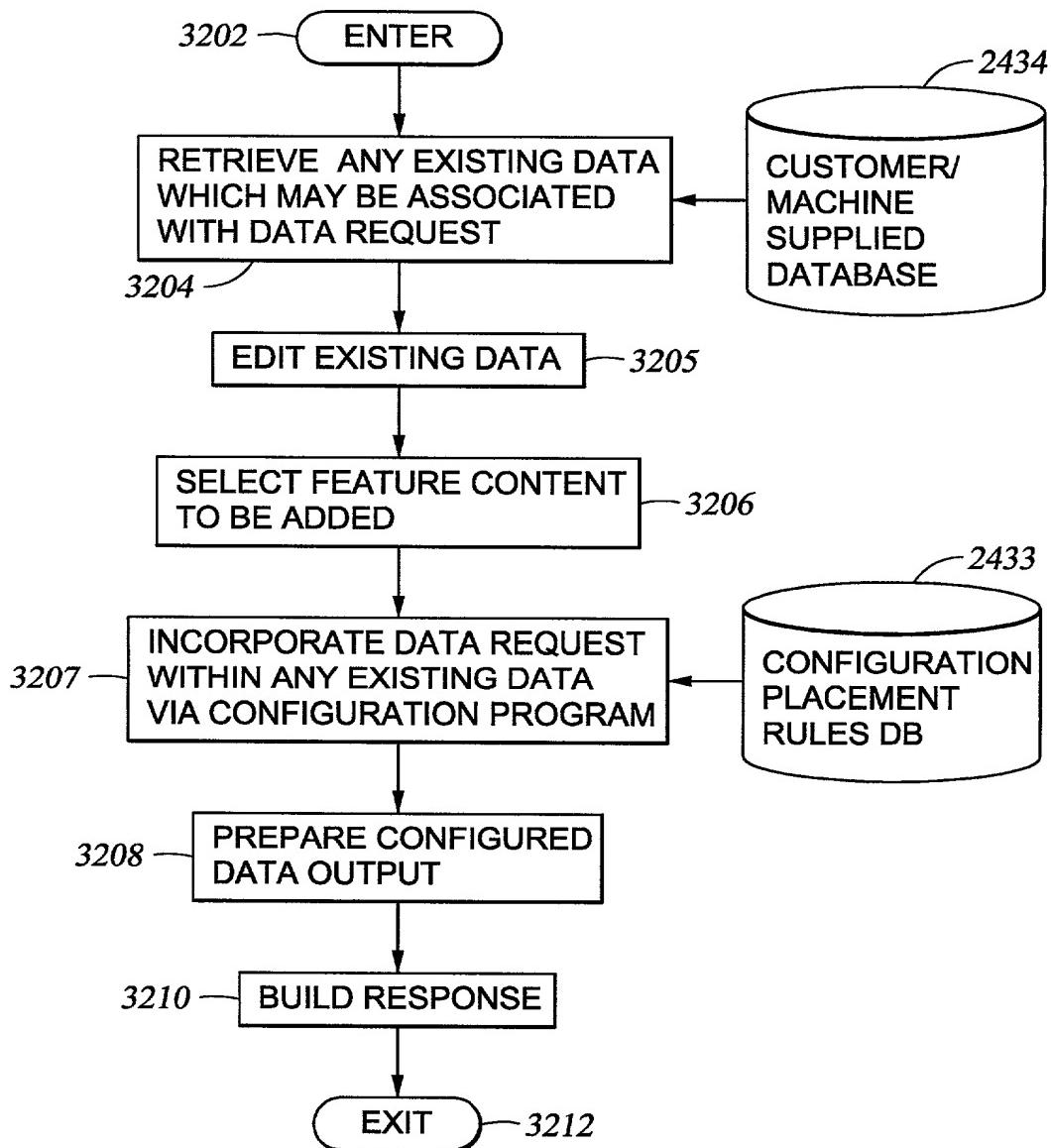


Fig. 32

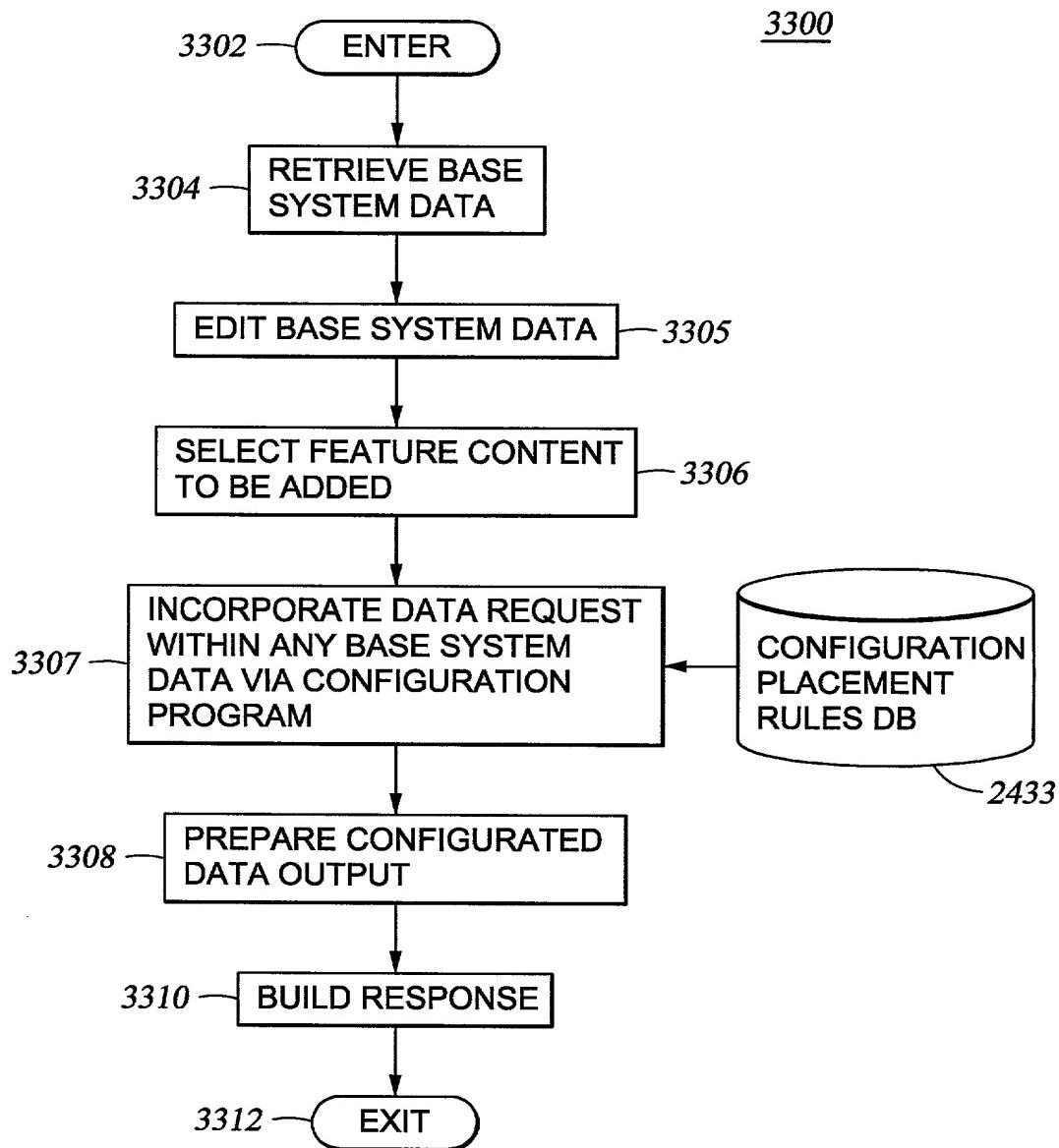


Fig. 33

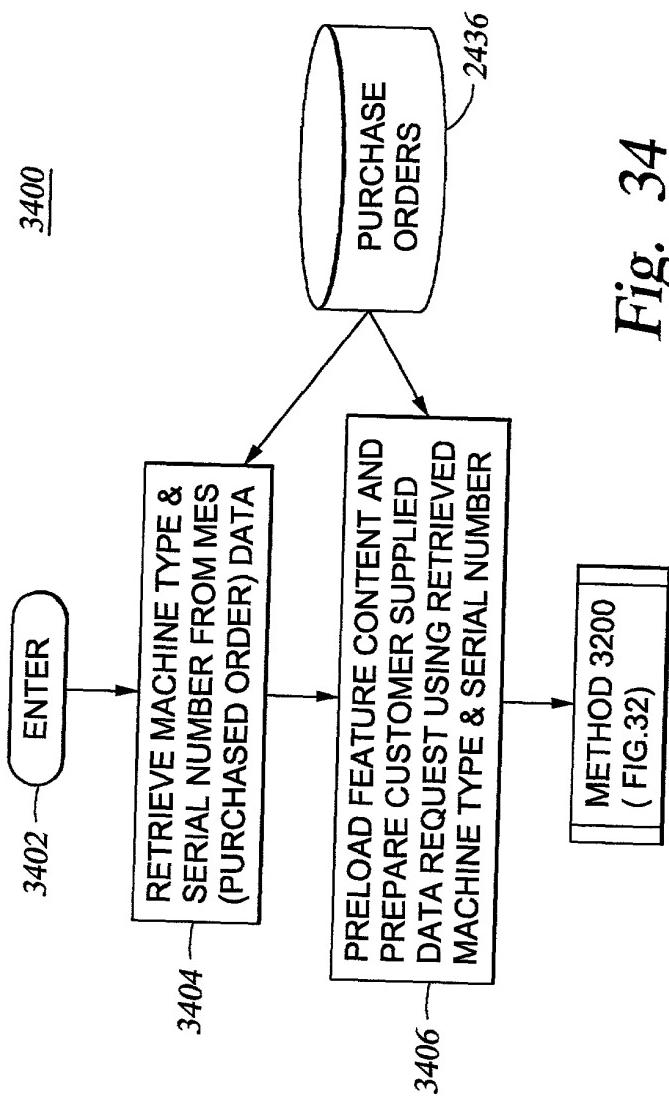


Fig. 34

35/52

3500

◀	▶	⊗	II	Home	Q	□	↶	↷	✉	A
ADDRESS										
IBM		5074 I/O EXPANSION UNIT MODEL: 820								
EDIT				RETURN						
MACHINE TYPE: 9406				SERIAL NUMBER: 0012345						
FRAME: 2				VPD DATE: 00/12/21						
PCI CARDS REAR VIEW - LEFT SIDE										
POSITION	POSITION DESCRIPTION			CCIN						
C01	IOP			2843						
C02	IOA			2748						
C03	IOP/IOA			2838						
C04	IOA			*2749*						
C05	IOP/INS			EMPTY						
C06	IOA			EMPTY						
C07	IOA			EMPTY						
C08	HSL - NOT A PCI POSITION									
C09	IOP/IOA			EMPTY						
C10	IOA			EMPTY						
C11	IOP/INS			EMPTY						
C12	IOA			EMPTY						
C13	IOA			EMPTY						
C14	IOP/IOA			EMPTY						
C15	IOA			EMPTY						
REAR VIEW - RIGHT SIDE PCI CARDS										

Fig. 35

36/52

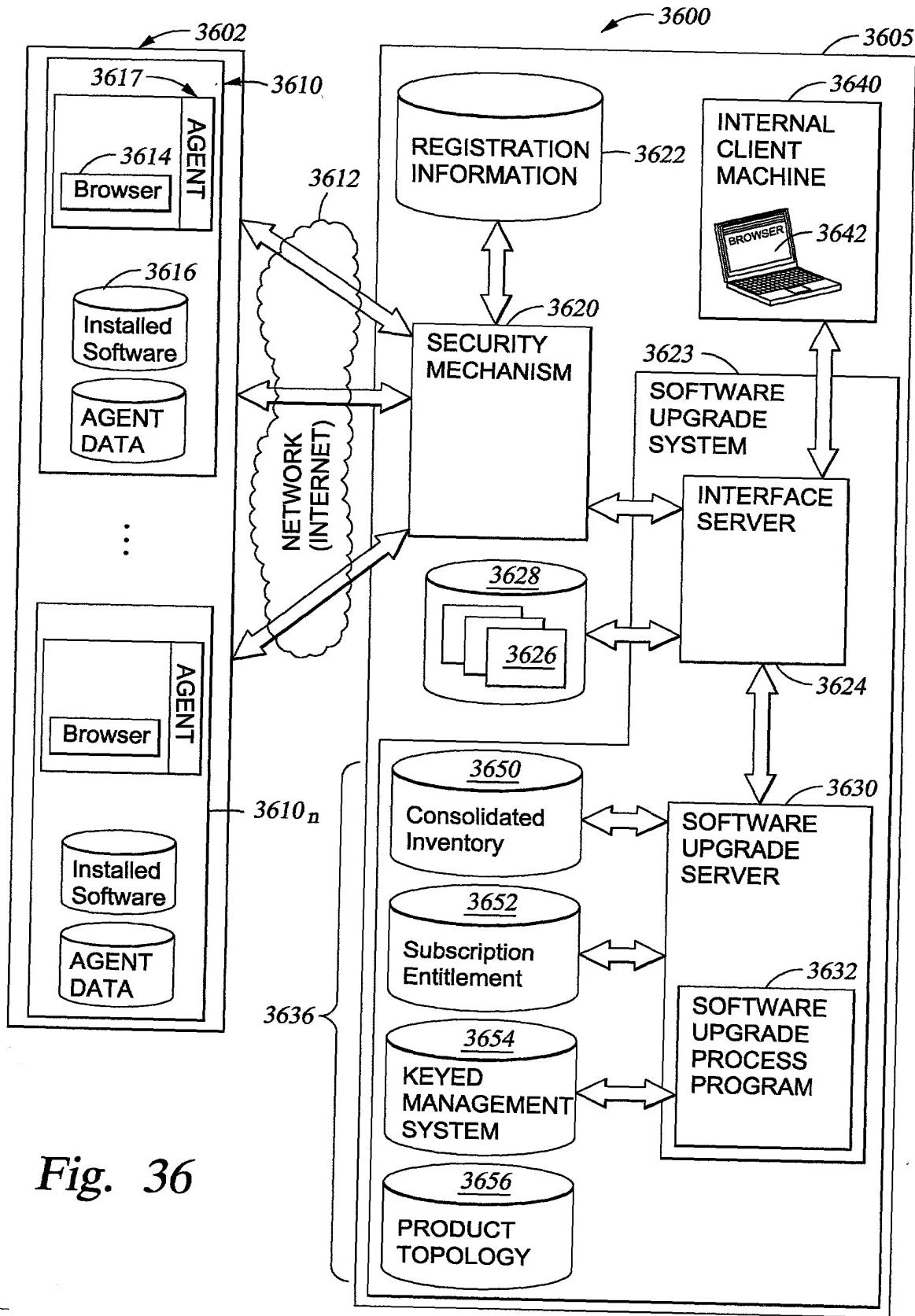


Fig. 36

37/52

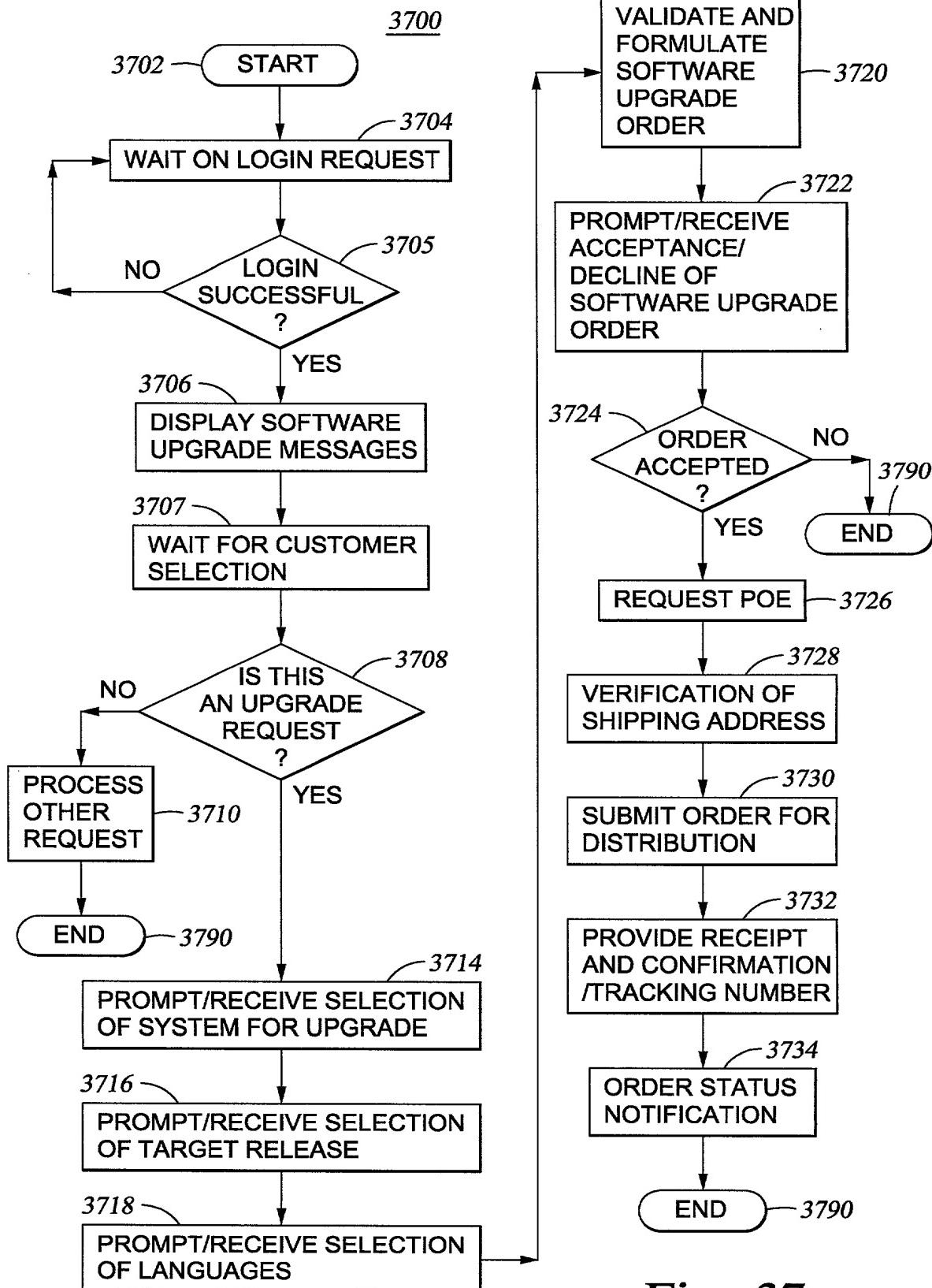


Fig. 37

IBM Electronic Services - Microsoft Internet Explorer		<input type="checkbox"/> <input checked="" type="checkbox"/>	
File Edit View Favorites Tools Help			
IBM ELECTRONIC SERVICES for AS/400™ from IBM Global Services		△	
WHAT IS IBM ELECTRONIC SERVICES FOR AS/400?		IBM Electronic Services for AS/400 provides electronic access to comprehensive IBM software and hardware support information and tools.	
HOW DO I GET STARTED?		Register using IBM Registration — 3840	
WHY SHOULD I USE IBM ELECTRONIC SERVICES FRO AS/400?		User ID <input type="text" value="TDOE"/> 3810	Password <input type="text" value="*****"/> 3820
WHO CAN USE IBM ELECTRONIC SERVICES FOR AS/400?		<input checked="" type="checkbox"/> Store login information locally <input type="button" value="Enter"/> Enter Recover lost User ID or Password	
3830		After pressing enter, please be patient while we launch a personalized application based on your userid and registered systems.	
NOTE: The site is best viewed with Netscape 4.06 or above or Microsoft Internet Explorer 4.01 or above. Java Script and cookies need to be enabled. Some features may not be viewed with other browsers.		<input type="button" value="Privacy"/> <input type="button" value="Legal"/> <input type="button" value="Contact"/> ▽ Internet	
Done		3800 →	

Fig. 38

Fig. 39

IBM Electronic Services - Microsoft Internet Explorer				
<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
File Edit View Favorites Tools Help				
MESSAGES				
<p>There is a software release upgrade available for your AS/400 via the Software Subscription contract you have. Select a system, then press GO to begin the software upgrade ordering request. Once completed, you will receive your software upgrade media in the mail.</p>				
<p style="text-align: right;">← 4010</p>				
<p>Select System</p>				
<p>← 4020</p>				
<p>GO → 4030</p>				
<table border="1"><tr><td>ESVCAS01-9406-10-4CYFM-0</td></tr><tr><td>ESVCAS01-9406-10-4CYFM-0</td></tr><tr><td>ESVCAS02-9406-10-3RKVM-0</td></tr></table>		ESVCAS01-9406-10-4CYFM-0	ESVCAS01-9406-10-4CYFM-0	ESVCAS02-9406-10-3RKVM-0
ESVCAS01-9406-10-4CYFM-0				
ESVCAS01-9406-10-4CYFM-0				
ESVCAS02-9406-10-3RKVM-0				
<p>4000</p>				
<p>Internet</p>				
<p>Done</p>				

Fig. 40

IBM Electronic Services - AS/400 Software Resources License Information - Microsoft Internet Explorer <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>																	
UPGRADE SOFTWARE																	
▲																	
<p>The Target Release is the new release level that you choose to order. ↗ 4110</p> <p>Upgrade options available to you currently are: OS/400 V4R4 and higher.</p> <p>The current Release level of the system that you selected on the previous screen also appears here. Select a Target Release, then press GO.</p>																	
Select Target Release						Current Release											
<table border="1"><tr><td>V4R5M0</td><td>▼</td></tr><tr><td>V4R4M0</td><td></td></tr><tr><td>V4R5M0</td><td></td></tr></table>						V4R5M0	▼	V4R4M0		V4R5M0		<p>4120 V4R3M0 GO ↗ 4140</p> <p>4130</p> <p>Privacy Legal Contact</p>					
V4R5M0	▼																
V4R4M0																	
V4R5M0																	
▼																	
4100																	
Internet																	
Done																	

Fig. 41

42/52

IBM Electronic Services - AS/400 Software Resources License Information - Microsoft Internet Explorer

File Edit View Favorites Tools Help

UPGRADE SOFTWARE

Select a Primary Language (or accept the default). 4210

Optionally, select one or more Secondary Languages, then press GO.

Note: Verify that the languages you select are supported in your current environment.

Select Primary Language 4220

English UL SBCS

Select Secondary Language (s) 4230

Belgian Dutch
Belgian French
Canadian French
Chinese DBCS, Simplified
Chinese DBCS, Traditional
Danish
Dutch
English UL SBCS
Finnish
French

You can select multiple Secondary Languages: hold the CTRL key Down while clicking on the Language IDs of your choice. 4240

GO

Privacy Legal Contact Internet

Done

Fig. 42

43/52

IBM Electronic Services - AS/400 Software Upgrade Proof of Entitlement - Microsoft Internet Explorer			
<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>			
File Edit View Favorites Tools Help			
<h2>UPGRADE SOFTWARE</h2>			
<p>The software products listed here are the items IBM identifies as both eligible and ineligible for upgrade to the Target Release level that you selected using the Upgrade Software feature.</p>			
<p>Review the list of Products that will be Ordered/Upgraded. Ensure that any non-IBM products used on your system will run with the target release you are upgrading to. You should also review the list of Products that will not be Ordered/Upgraded, keeping in mind that some of these products may no longer function at the target release.</p>			
<p style="text-align: right;">▲</p>			
<p style="text-align: right;">4320</p>			
<p style="text-align: right;">4310</p>			
<p style="text-align: right;">4300</p>			
<p>PRODUCTS THAT WILL BE ORDERED/UPGRADED</p>			
4370 — PRODUCT ID OPTION RELEASE DESCRIPTION — 4374			
Products currently covered under Software Subscription:	5769FW1	0000	V4R4M0
		4375	4372
			FIREWALL
4330	5769SS1	0004	V4R5M0
	5769SA2	0002	V4R2M0
	5769XW1	0002	V4R5M0
Other Covered Products:	5769SS1	0004	V4R5M0
	5769SS1	0004	V4R5M0
4340	5769SS1	0004	V4R5M0
			OS/400 - Extended NLS Support
			OS/400 - Ultimedia System Facilities
			Internet
Done			

Fig. 43A

PRODUCTS THAT WILL NOT BE ORDERED/UPGRADED					
	PRODUCT ID	OPTION	RELEASE	DESCRIPTION	
4350				AS/400 Client Access Family	
Products withdrawn from Marketing:	5769XY1	0000	V4R1M0	AS/400 Client Access Family	
Products currently not supported for upgrade through this tool:	5798RZG	0000	V4R1M0	AS/400 Service Director	
4360	5648B10	0000	V3R2M0	Navio NC Navigator	
	5763JC1	0000	V3R2M1	AS/400 Toolbox for Java	
	5769SS1	0000	V4R3M0	OS/400 - AS/400 Integration for NT	
	5763XC1	0000	V3R1M1	Client Access - Windows 3.1 SBCS	
	5763XC1	0000	V3R1M1	Windows 3.1 Client	
	5763XB1	0000	V3R1M1	Client Access - Ultimedia Facilities	
	5763XB1	0000	V3R1M1	Client Access - Ext DOS RUMBA DBCS	
	5763XB1	0000	V3R1M1	Client Access - Ext DOS RUMBA SBCS	
	5763XB1	0000	V3R1M1	Client Access - Ext DOS DBCS	
	5769LNT	0000	V4R5M0	Advanced Services	
	5763XB1	0000	V3R1M1	Client Access - Ext DOS SBCS	

Fig. 43B

IBM Electronic Services - AS/400 Software Upgrade Proof of Entitlement - Microsoft Internet Explorer

File Edit View Favorites Tools Help

UPGRADE SOFTWARE

	5763XF1	0000	V3R1M0	Client Access - OS/2 SBCS
	5763XF1	0000	V3R1M0	OS/2 Client
	5763XL1	0000	V3R1M0	Client Access - DOS DBCS
	5763XL1	0000	V3R1M0	DOS Client
	5763XC1	0000	V3R1M1	Client Access - SysObject Access
	5763XC1	0000	V3R1M1	Client Access - Graphical Access
	5763XC1	0000	V3R1M1	Client Access - Ultimedia Facilities
	5763XC1	0000	V3R1M1	Client Access - GraphicOps for Windows
	5763XC1	0000	V3R1M1	Client Access - Windows 3.1 PC5350
	5763XC1	0000	V3R1M1	Client Access - Windows 3.1 RUMBASBCS
	5763XC1	0000	V3R1M1	Client Access - Windows 3.1 RUMBASBCS
	5763XC1	0000	V3R1M1	Client Access - Windows 3.1 RUMBASBCS

If you accept the Products That Will Be Ordered/Upgraded list as shown here, then press ACCEPT.
You can press CANCEL to end this upgrade request.

Accept → 4380

Cancel → 4390

Privacy Legal Contact

Done Internet

Fig. 43C

IBM Electronic Services - AS/400 Software Upgrade Proof of Entitlement - Microsoft Internet Explorer		
<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>		
File Edit View Favorites Tools Help		
UPGRADE SOFTWARE		
<p>The IBM supported software programs identified as installed on your system are listed here.</p> <p>In order to receive your requested software release upgrade, for each of the listed software programs in the table below, you must have documentation which provides evidence of product licensure. This documentation consists of:</p> <p>1- The original Proof of Entitlement document -or- 2- The original product invoice (when Proof of Entitlement has not been provided)</p>		
PRODUCT ID	RELEASE	DESCRIPTION
5769FW1	V4R4M0	FIREWALL
5769SA2	V4R2M0	Integ. Serv. for FS10P (No POE Required)
5769XW1	V4R5M0	AS/400 Client Access for Windows
5769SS1	V4R5M0	OS/400
<p>If you have this Proof of Entitlement documentation, or the original Product invoice for the software products listed in this table, then press YES. Otherwise, press NO.</p> <p><input type="checkbox"/> YES → 4430</p> <p><input type="checkbox"/> NO → 4440</p>		<input type="checkbox"/> Privacy <input type="checkbox"/> Legal <input type="checkbox"/> Contact
		Internet
		Done

Fig. 44

4400 →

IBM Electronic Services - AS/400 Software Upgrade Target Release(s) - Microsoft Internet Explorer

File Edit View Favorites Tools Help

UPGRADE SOFTWARE

Your current address is listed below. This is the address the software upgrade will be shipped to. You may change any of the displayed address information and then press the GO button.

Company:
Name:
Contact Name:
Address 1:
Address 2:
City:
State:
Zip Code: GO

Done

Fig. 45

IBM Electronic Services - AS/400 Software Upgrade Confirm Order - Microsoft Internet Explorer		<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>				
File	Edit	View	Favorites	Tools	Help	
<h2>UPGRADE SOFTWARE</h2>						
<p>Your software upgrade order has been submitted to IBM! Your order number is: AS0050. A confirming list of the products that you ordered appears in this table. Print this screen to keep for your reference.</p>						
<p>IBM will notify you via the Electronic Services Welcome web page when your order has been processed. You may track the status of your order at that time. Thank you for using IBM Electronic Services for AS/400 to order your software upgrade.</p>						
<p>Tracking Number: T1234567 → 4610</p>						
<p>4620</p>						
PRODUCTS THAT WILL BE ORDERED/UPGRADED						
	PRODUCT ID	OPTION	RELEASE	DESCRIPTION		
Products currently covered under software Subscription:	5769FW1	0000	V4R4M0	FIREWALL		
	5769SS1	0004	V4R5M0	OS/400		
	5769SA2	0002	V4R2M0	Integ. Serv. for FSIOP (No POE Required)		
	5769XW1	0002	V4R5M0	AS/400 Client Access for Windows		
Other covered Products:	5769SS1	0004	V4R5M0	OS/400 - ObjectConnect		
	5769SS1	0004	V4R5M0	OS/400 - Extended NLS Support		
	5769SS1	0004	V4R5M0	OS/400 - Ultimedia System Facilities		
	5769SS1	0004	V4R5M0	OS/400 - GDDM		
	5769SS1	0004	V4R5M0	OS/400 - System Openness Includes		
	5769SS1	0004	V4R5M0	OS/400 - Host Servers		
Done						
Internet						

4600 → Fig. 46

49/52

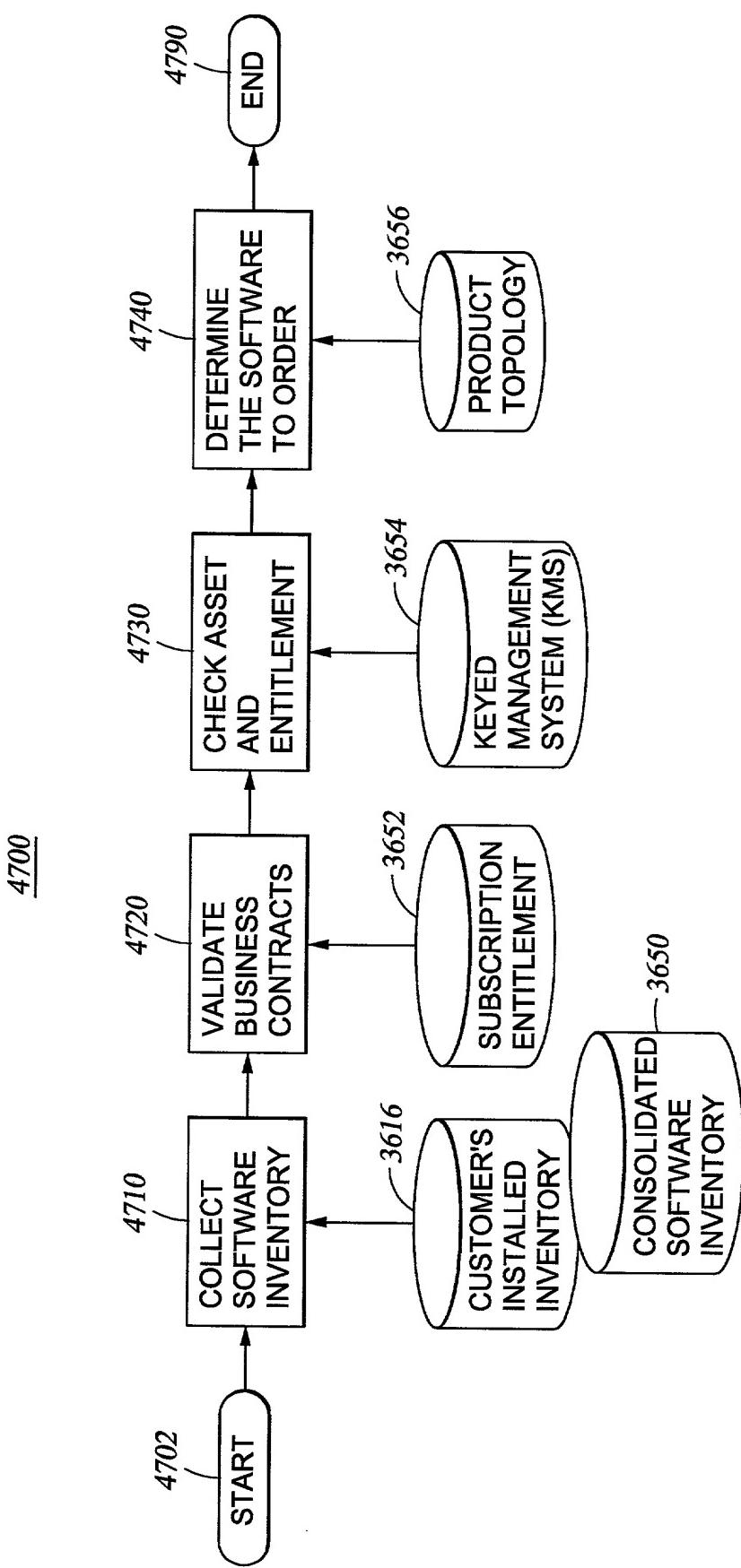


Fig. 47

50/52

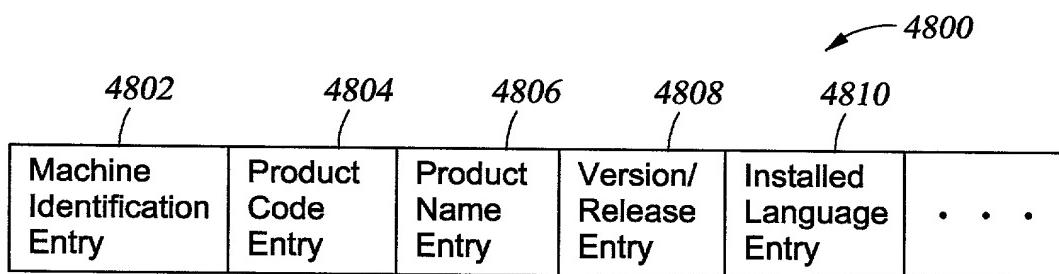


Fig. 48

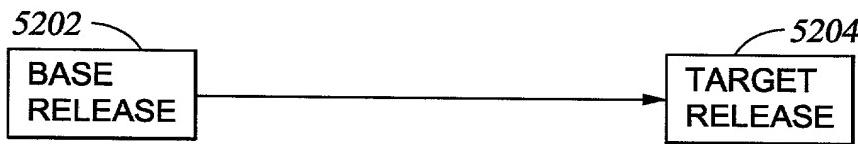


Fig. 52A

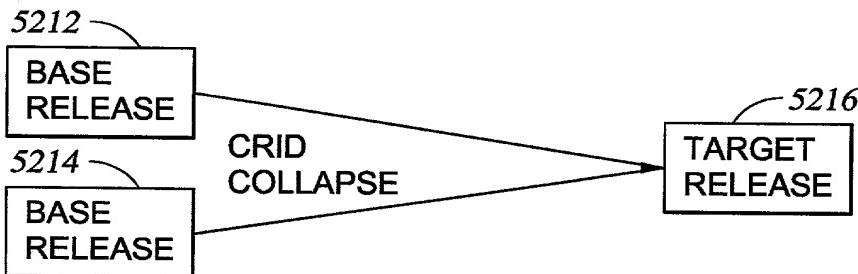


Fig. 52B

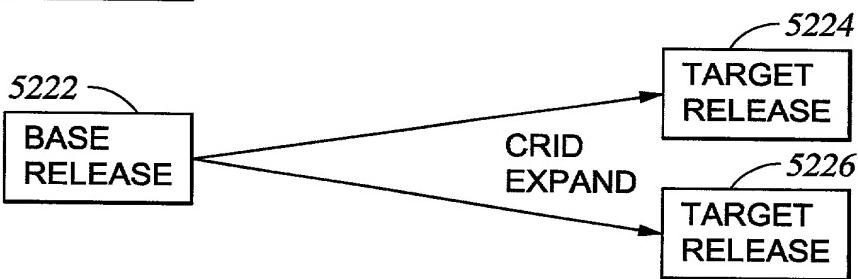


Fig. 52C

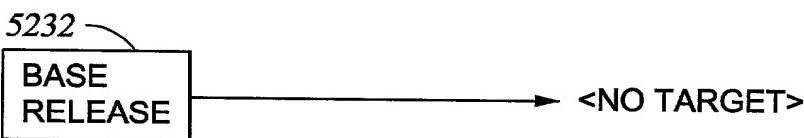


Fig. 52D

51/52

EXAMPLE OF A CRID MAP

CRID	INDEX	REL	PRODID	OPT	VERSION	TITLE
4902	4904	4906	4908	4910	4912	4914
MQSERIES	1	V4R1	5716MQ1	0	V3R7M0	MQSERIES
MQSERIES	2	V4R2	5769MQ1	0	V4R2M0	MQSERIES
MQSERIES	3	V4R3	5769MQ1	0	V4R2M0	MQSERIES
MQSERIES	3	V4R3	5769MQ2	0	V4R2M1	MQSERIES
MQSERIES	4	V4R4	5769MQ2	0	V4R2M1	MQSERIES
MQSERIES	4.1	V4R4P	5733A38	0	V5R1M0	MQSERIES,V5.1
MQSERIES	5	V4R5	5769MQ2	0	V4R2M1	MQSERIES
MQSERIES	5	V4R5	5733A38	0	V5R1M0	MQSERIES,V5.1
MQSERIES	5.1	V4R5P	5733A38	0	V5R2M0	MQSERIES V5.2

Fig. 49

52/52

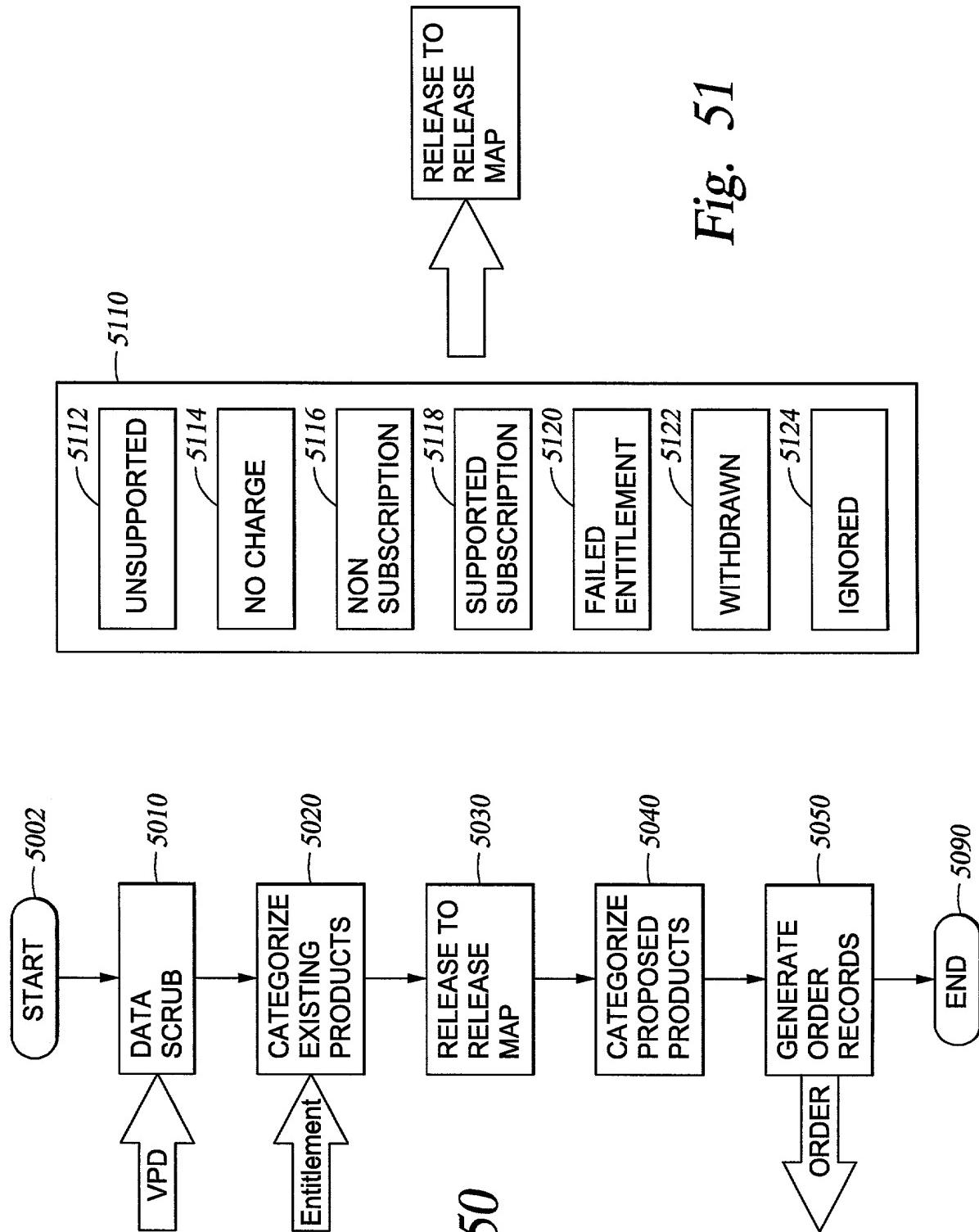


Fig. 50

Fig. 51